# Electromagnetic calorimeters based on scintillating lead tungstate crystals for experiments at Jefferson Lab <sup>th</sup>

A.Asaturyan<sup>a</sup>, F.Barbosa<sup>c</sup>, V.Berdnikov<sup>b</sup>, J.Crafts<sup>g</sup>, H.Egiyan<sup>c</sup>, L.Gan<sup>f</sup>, A.Gasparian<sup>g</sup>, K.Harding<sup>c</sup>, T.Horn<sup>b</sup>, V.Kakoyan<sup>a</sup>, H.Mkrtchyan<sup>a</sup>, Z.Papandreou<sup>e</sup>, V. Popov<sup>c</sup>, S.Taylor<sup>c</sup>, N.Sandoval<sup>c</sup>, A.Somov<sup>c,\*</sup>, S.Somov<sup>d</sup>, A. Smith<sup>h</sup>, C. Stanislav<sup>c</sup>, H. Voskanyan<sup>a</sup>, T. Whitlatch<sup>c</sup>, S. Worthington<sup>c</sup>

<sup>a</sup>A. I. Alikhanian National Science Laboratory (Yerevan Physics Institute), 0036 Yerevan, Armenia 
<sup>b</sup>Catholic University of America, Washington, DC 20064, USA 
<sup>c</sup>Thomas Jefferson National Accelerator Facility, Newport News, VA 23606, USA 
<sup>d</sup>National Research Nuclear University MEPhI, Moscow, Russia 
<sup>e</sup>University of Regina, Regina, Saskatchewan, Canada S4S 0A2 
<sup>f</sup>University of North Carolina at Wilmington, Wilmington, NC 28403, USA 
<sup>g</sup>North Carolina A&T State University, Greensboro, NC 27411, USA 
<sup>h</sup>Duke University, Durham, North Carolina 27708, USA

#### **Abstract**

A new electromagnetic calorimeter consisting of 140 lead tungstate (PbWO<sub>4</sub>) scintillating crystals was constructed for the PrimEx  $\eta$  experiment at Jefferson lab. The calorimeter was integrated into the DAQ and trigger systems of the GlueX detector and used in the experiment to reconstruct Compton scattering events. The experiment started collecting data in the spring of 2019 and acquired about 30% of the required statistics. The calorimeter is a prototype for two PbWO<sub>4</sub>-based detectors, which are currently under construction at Jefferson Lab: the Neutral Particle Spectrometer (NPS) and the lead tungstate insert of the forward calorimeter (FCAL) of the GlueX detector. The article presents the design and performance of the Compton calorimeter and gives a brief overview of the FCAL and NPS projects.

Keywords: Electromagnetic calorimeter, Lead tungstate scintillator

#### 1. Introduction

12

15

17

Electromagnetic calorimeters based on PbWO<sub>4</sub> scintillating  $_{22}$  crystals have a widespread application in experiments at different accelerator facilities such as CERN, FNAL, GSI, and Jefferson Lab (JLab). The small radiation length ( $L_R = 0.89$  cm) and  $_{25}$  Molière radius ( $R_m = 2.19$  cm) of PbWO<sub>4</sub> allows to build highgranularity detectors with a good spatial separation and energy resolution of reconstructed electromagnetic showers, and  $_{28}$  makes these crystals the material of choice in many of these applications.

Two electromagnetic calorimeters are currently under construction in experimental Hall D and Hall C at Jefferson Lab,  $_{32}$  both using rectangular 2.05 cm  $\times$  2.05 cm  $\times$  20 cm PbWO<sub>4</sub>  $_{33}$  scintillating modules. The inner part of the forward lead glass  $_{34}$  calorimeter of the GlueX detector [1] in Hall D will be upgraded with these high-granularity, high-resolution crystals. This upgrade is required by the physics program with the GlueX detector, specifically the new experiment to study rare decays of  $^{37}$   $^{38}$  mesons [2]. The size of the insert will tentatively consist of  $^{38}$ 

2496 lead tungstate modules. The Neutral Particle Spectrometer [3] in experimental Hall C consists of a PbWO<sub>4</sub> electromagnetic calorimeter preceded by a sweeping magnet. The NPS is required by Hall C's precision cross section measurement program with neutral final states [4–9]. Such precision measurements of small cross sections play a central role in studies of transverse spatial and momentum hadron structure. The NPS detector consists of 1080 PbWO<sub>4</sub> crystals arranged in a 30 × 36 array. Lead tungstate crystals for both detectors were procured from two vendors: Shanghai Institute of Ceramics (SICCAS) in China and CRYTUR in the Czech Republic. The quality of recently produced PbWO<sub>4</sub> scintillators has been studied in detail by the NPS and EIC eRD1 collaborations and is described in Ref. [10]. PbWO<sub>4</sub> crystals are also being considered for an electromagnetic calorimeter of the future Electron-Ion Collider [11].

In this article we describe the design and construction of a calorimeter prototype composed of 140 SICCAS crystals, which served as the Compton Calorimeter (CCAL) in the PrimEx  $\eta$  experiment [12] with the GlueX detector in the spring of 2019. The CCAL was subsequently used during a few short GlueX physics runs at high luminosity in order to study rates and operating conditions expected for the FCAL lead-tungstate insert. Experience gained during fabrication and operation of the CCAL was critical for finalizing the design of the FCAL insert and also helped further optimize the NPS calorimeter.

Preprint submitted to Elsevier March 17, 2021

<sup>\*</sup>Notice: Authored by Jefferson Science Associates, LLC under U.S. DOE 41 Contract No. DE-AC05-06OR23177. The U.S. Government retains a non-42 exclusive, paid-up, irrevocable, world-wide license to publish or reproduce this manuscript for U.S. Government purposes.

<sup>\*</sup>Corresponding author. Tel.: +1 757 269 5553; fax: +1 757 269 6331. Email address: somov@jlab.org (A.Somov)

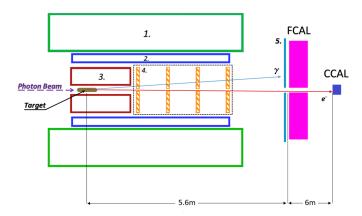


Figure 1: Schematic layout of the GlueX detector (not to scale). Numbers represent the following detector components: solenoid magnet (1), barrel calorimeter (2), central drift chamber (3), forward drift chambers (4), time-of-flight wall 80 (5).

This article is organized as follows: we will present the PrimEx  $\eta$  experiment and performance of the CCAL in Section 2 and Section 3, and will briefly describe the FCAL and NPS projects in Sections 4 and 5.

## 2. PrimEx $\eta$ experiment with the GlueX detector

51

52

54

55

62

65

72

73

75

The GlueX detector [1] was designed to perform experiments using a photon beam. Beam photons are produced via <sup>91</sup> the bremsstrahlung process by electrons, provided by the JLab electron accelerator facility, incident on a thin radiator. The en- <sup>92</sup> ergy of a beam photon is determined by detecting a scattered <sup>93</sup> electron after radiating the photon with a typical precision of <sup>94</sup> 0.2%. The electron is deflected in a 6 m long dipole mag- <sup>95</sup> net operated at a field of 1.8 T and registered in the so-called <sup>96</sup> tagging scintillator counters. Each counter corresponds to the <sup>97</sup> specific energy of the reconstructed lepton. The photon beam <sup>98</sup> propogates toward the GlueX target. A schematic view of the <sup>99</sup> GlueX detector is illustrated in Fig. 1.

The physics goal of the PrimEx  $\eta$  experiment is to perform a<sub>101</sub> precision measurement of the  $\eta \to \gamma \gamma$  decay width. The mea-<sub>102</sub> surement will provide an important test of QCD symmetries<sub>103</sub> and is essential for the determination of fundamental properties<sub>104</sub> such as the ratios of the light quark masses and the  $\eta$ - $\eta'$  mixing<sub>105</sub> angle. The decay width will be extracted from the measure-<sub>106</sub> ment of the photoproduction cross section of  $\eta$  mesons in the<sub>107</sub> Coulomb field of a nucleus, which is known as the Primakoff<sub>108</sub> effect. The  $\eta$  mesons will be reconstructed by detecting two de-<sub>109</sub> cay photons in the forward calorimeter of the GlueX detector.

The cross section will be normalized using the Compton scat-111 tering process, which will also be used to monitor the luminos-112 ity and control the detector stability during data taking. Elec-113 trons and photons originating from Compton events in the target114 are produced at small angles, typically outside the acceptance115 of the FCAL. In order to improve the reconstruction of particles116 in the forward direction, we built a small Compton calorimeter117

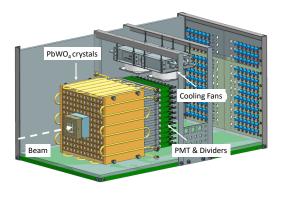


Figure 2: Schematic layout of the Compton calorimeter.

consisting of 140 lead tungstate scintillating crystals and positioned it about 6 m downstream from the FCAL as shown in in Fig. 1. The CCAL covers the angular range between  $0.18^{\circ}$  and  $0.33^{\circ}$ .

The PrimEx  $\eta$  experiment started collecting data in the spring of 2019 and has acquired 30% of the required statistics. During the experiment, the magnetic field of the solenoid magnet was switched off in order to allow reconstruction of Compton events. The photon flux was about  $5 \cdot 10^6 \ \gamma/\text{sec}$  (four times lower than the nominal GlueX flux) in the beam energy range of interest between 9.5 GeV and 11.6 GeV.

## 3. Compton calorimeter of the PrimEx $\eta$ experiment

#### 3.1. Calorimeter design

The calorimeter design is shown in Fig. 2. The CCAL comprises an array of  $12 \times 12$  lead tungstate modules with a  $2 \times 2$  hole in the middle for the passage of the photon beam. The modules are positioned inside a light tight box. A tungsten absorber is placed in front of the innermost layer closest to the beamline to provide protection from the high rate of particles predominantly originating from electromagnetic interactions.

The light yield from PbWO<sub>4</sub> crystals depends on temperature with a typical temperature coefficient of  $2\%/^{\circ}C$  at room temperature. Maintaining constant temperature is essential for the calorimeter operation. The calorimeter modules are surrounded by four copper plates with built-in pipes to circulate a cooling liquid and provide temperature stabilization. Foam insulation surrounds the detector box. The temperature was monitored and recorded during the experiment by five thermocouples attached to different points of the PbWO4 module assembly. During the experiment the temperature was maintained at  $17^{\circ} \pm 0.2^{\circ}C$ . The typical heat released by the photomultiplier tube (PMT) dividers was equivalent to 33 Watts. In order to prevent condensation, a nitrogen purge was applied. Two fans with a water-based cooling system were installed on the top of the crystal assembly to improve nitrogen circulation and heat dissipation from the PMT dividers. The detector was positioned on a platform, which allowed to move it in the vertical and horizontal directions, perpendicular to the beam. The platform was

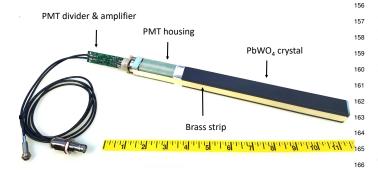


Figure 3: Calorimeter module showing main components: the PbWO<sub>4</sub> crystal, PMT housing, PMT divider, and signal and HV cables.

remotely controlled and provided a position accuracy of about  $_{173}^{172}$  200  $\mu$ m. During detector calibration each module was moved  $_{174}$  into the beam.

#### 3.2. Module design

118

119

122

123

124

126

127

128

130

131

132

134

135

136

138

139

142

143

147

148

149

151

152

153

The design of the PbWO<sub>4</sub> module is based on the HyCal<sub>178</sub> calorimeter, which was used in several experiments in Jeffer-179 son Lab Hall B [13]. An assembled calorimeter module is pre-180 sented in Fig. 3. Each lead tungstate crystal is wrapped with a<sub>181</sub> 60 μm polymer Enhanced Specular Reflector film (ESR) man-182 ufactured by 3M<sup>TM</sup>, which allows 98.5% reflectivity across the<sub>183</sub> visible spectrum. In order to improve optical isolation of each<sub>184</sub> module from its neighbors, each crystal is wrapped with a layer<sub>185</sub> of 25  $\mu$ m thick Tedlar. The PMT is located inside a G-10 fiber-<sub>186</sub> glass housing at the rear end of the crystal. Two flanges are 187 positioned at the crystal and housing ends and are connected<sub>188</sub> together using 25  $\mu$ m brass straps, which are brazed to the sides<sub>189</sub> of the flanges. Four set screws are pressed to the PMT housing<sub>190</sub> flange to generate tension in the straps and hold the assembly<sub>191</sub> together. Light from the crystal is detected using a ten-stage<sub>192</sub> Hamamatsu PMT 4125, which is inserted into the housing and 193 is coupled to the crystal using optical grease (BC-631). The<sub>194</sub> PMT diameter is 19 mm. The PMT is pushed towards the crys-195 tal by using a G-10 retaining plate attached to the back of the 196 PMT and four tension screws applied to the PMT flange. The<sub>197</sub> PMT is instrumented with a high-voltage divider and amplifier positioned on the same printed circuit board attached to the 198 PMT socket.

## 3.3. Electronics

The PMT of each calorimeter module was equipped with an<sub>202</sub> active base prototype [14], which was designed for the Neutral<sub>203</sub> Particle Spectrometer in experimental Hall C. The base com-<sub>204</sub> bines a voltage divider and an amplifier powered by the current<sub>205</sub> flowing through the divider. The active base allows the oper-<sub>206</sub> ation of the PMT at lower voltage and consequently at lower<sub>207</sub> anode current, which improves the detector rate capability and<sub>208</sub> prolongs the PMT's life. The original Hamamatsu divider for<sub>209</sub> this type of PMT was modified by adding two bipolar transis-<sub>210</sub> tors on the last two dynodes, which provides gain stabilization<sub>211</sub>

at high rate. The active base from the NPS detector has a relatively large amplification of about a factor of 24 due to the large PMT count rate predicted by Monte Carlo simulation. Large amplification was not needed for the planned run conditions for the PrimEx  $\eta$  experiment. However, we subsequently used CCAL in GlueX runs at significantly larger luminosity in order to study run conditions of the FCAL lead tungstate insert, where the amplifier will be required. This will be discussed in Section 4.0.3. During the PrimEx run, the CCAL was operated at about 680 V, which produced a divider current of 260  $\mu$ A. The high voltage for each PMT was supplied by a 24-channel CAEN A7236SN module positioned in a SY4527 mainframe.

Amplified PMT signals were digitized using a twelve-bit 16channel flash ADCs electronics module operated at a sampling rate of 250 MHz. The ADC was designed at Jefferson Lab [15] and is used for the readout of several sub-detectors of the GlueX detector. The Field-Programmable Gate Array (FPGA) chip inside the ADC module allows the implementation of various programmable data processing algorithms for the trigger and readout. An example of a flash ADC signal pulse obtained from a calorimeter module is shown in Fig. 4. In this example, the ADC is operated in the raw readout mode, where digitized amplitudes are read out for 100 samples, corresponding to the 400 ns read out window. During the PrimEx  $\eta$  experiment, the ADC performed on-board integration of signal pulses, which amplitudes were above a threshold of 24 MeV. ADC amplitudes are summed in a time window of 64 ns and read out from the ADC along with other parameters such as the pulse amplitude, pulse time, amplitude of the ADC pedestal, and data processing quality factors. This readout mode allowed to significantly reduce the data size and ADC readout time, and therefore did not induce any dead time in the DAQ.

CCAL flash ADCs are positioned in a VXS (ANSI/VITA 41.0 standard) crate. VXS crates are used to host all readout electronics of the GlueX experiment. In addition to the VME-bus used to read out data from electronics modules, the VXS is instrumented with a high-speed serial bus in order to increase the bandwidth to several Gb/sec and provide an interconnected network between modules. The bus is used to transmit amplitudes digitized by the ADC to trigger electronics modules to include the CCAL in the Level 1 trigger system of the GlueX detector.

## 3.4. Light Monitoring System

To monitor performance of each calorimeter channel, we designed an LED-based light monitoring system (LMS). The LMS optics includes a blue LED, a spherical lens to correct the conical dispersion of the LED, and a diffusion grating to homogeneously mix the light. Light produced by the LED is incident on a bundle of plastic optical fibers (Edmund Optics) with a core diameter of 250  $\mu$ m. Each fiber distributes light to an individual calorimeter module. On the crystal end, the fiber is attached to the module using a small acrylic cap glued to the crystal with a hole drilled through each cap to hold the fiber inside.

To monitor stability of the LED, we used two reference Hamamatsu 4125 PMTs, the same type as in the CCAL detec-

200

201

167

168

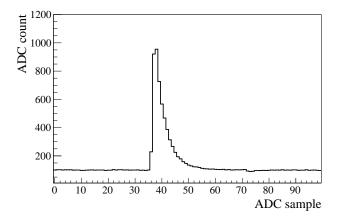


Figure 4: A typical flash ADC signal pulse obtained from a PbWO<sub>4</sub> module.

tor. Each PMT receives light from two sources: a single fiber from the LED and a YAP:Ce pulser unit, both glued to the PMT face. The pulser unit consists of a 0.15 mm thick YAP:Ce scintillation crystal with a diameter of 3 mm spot activated by an <sup>241</sup>Am  $\alpha$  source. The  $\alpha$  source is used to monitor stability of the LED. The PMT was read out using a flash ADC. The high voltage on each reference PMT was adjusted to have the signals from both the LED and  $\alpha$  source fit within the flash ADC range of 4096 counts, as shown in Fig. 5. Each LED was driven by a CAEN 1495 module, which allowed to generate LED pulses with a programmable rate. The LMS was integrated into the GlueX trigger system and provided a special trigger type during data taking. The LMS was extensively used during the detector commissioning and injected light to the CCAL detector with a typical frequency of 100 Hz continuously during the PrimEx  $\eta$ experiment. This LED rate is similar to the trigger rate of events generated by the reference  $\alpha$  source.

Most LMS components were positioned inside the temperature-stabilized detector box. The stability of the LED system measured using the reference PMTs during the entire PrimEx run was better than 1%. The ratio of signal ADC249 amplitudes from the LED pulser to the  $\alpha$  alpha source obtained250 during different run periods of the 48-day long PrimEx  $\eta_{251}$  experiment is presented in Fig. 6. The ratio is normalized252 to the data in the beginning of the experiment. Stability of 253 most CCAL modules observed using the LMS during the254 experiment was better than 6%. We did not apply any PMT255 gain adjustments during the experiment.

# 3.5. Calibration

213

214

215

216

218

219

220

221

222

223

225

226

227

229

231

232

233

234

235

236

237

239

241

242

244

245

The initial energy calibration of the CCAL was performed by<sup>260</sup> moving each calorimeter module into the photon beam during<sup>261</sup> special low-intensity calibration runs. The maximum rate in<sup>262</sup> the module, for a threshold of 15 MeV, did not exceed 200 kHz.<sup>263</sup> The energy of each beam photon was determined by detecting<sup>264</sup> a bremsstrahlung electron using the GlueX tagging detectors as<sup>265</sup> described in Section 2. The tagging detectors cover the photon<sup>266</sup> energy range between 2.9 GeV and 11.4 GeV and provide a<sup>267</sup>

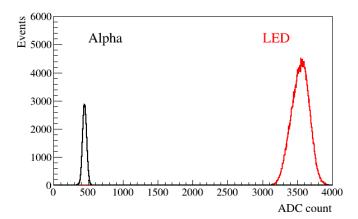


Figure 5: Flash ADC signal amplitudes induced by the LED and the  $\alpha$ -source in the reference PMT.

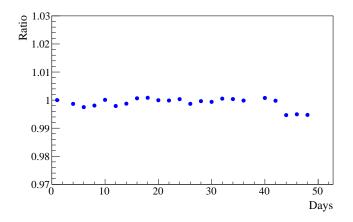


Figure 6: Ratio of signal ADC amplitudes from the LED pulser to the  $\alpha$ -source measured by the reference PMT during different run periods of the 48-day long PrimEx  $\eta$  experiment. The ratio is normalized to data in the beginning of the run.

relative energy resolution of about 0.2%. The spot size of the collimated photon beam had a diameter of about 6 mm.

In the beginning of the calibration run, we adjusted the PMT high voltage for each module in order to equalize signal pulse amplitudes induced by 10 GeV beam photons. The amplitude was set to 3200 ADC counts. An example of flash ADC signal amplitude in the calorimeter module as a function of the beam energy is presented in Fig. 7. The calibration of each module was refined by reconstructing showers in the calorimeter and constraining the reconstructed energy to the known beam energy.

During the calibration runs, we estimated the non-uniformity of the 140 CCAL modules by measuring the relative energy resolution for each individual module exposed to the beam. The energy resolution obtained for 6 GeV photons is presented in Fig. 8. The distribution is fit to a Gaussian function. The non-uniformity of the modules, i.e., the spread of the distribution is found to be smaller than 5%.

During calibration, we observed some a non-linearity of the

257

PMT active base with the large amplification factor of 24, on the level of a few percent, which impacted both the pulse peak and pulse integral. The base performance became linear when the amplifier gain was reduced. In order to study the impact of the non-linearity on the detector energy resolution, we replaced the original PMT active bases for 9 CCAL modules (in the array of  $3 \times 3$  modules) with modified bases where the amplifier was bypassed and measured the energy resolution for different beam energies. The beam was incident on the center of the middle module in the array. An example of the energy deposited by 10 GeV photons is shown in Fig. 9. The energy resolution was obtained from a fit of the energy distribution to a Crystal Ball function 1 implemented in the ROOT data analysis framework [16]. The energy resolution as a function of the beam energy is shown in Fig. 10. The distribution was fit to the following function:

270

271

273

274

278

279

281

282

283

284

285

289

290

292

293

294

297

298

300

301

302

303

304

305

308

309

311

312

315

316

317

$$\frac{\sigma_E}{E} = \frac{S}{\sqrt{E}} \oplus \frac{N}{E} \oplus C,\tag{1}$$

where S represents the stochastic term, N the electronic noise and C the constant term, E is the beam energy in GeV, and the symbol  $\oplus$  indicates a quadratic sum. The fit yields: S = $2.63 \pm 0.01\%$ ,  $N = 1.07 \pm 0.09\%$ , and  $C = 0.53 \pm 0.01\%$ . The resolution was found to be about 10% better than that measured with the original base with the gain of 24. The energy resolution is consistent with that of the HyCal calorimeter [13], which was instrumented with crystals produced by SICCAS in 2001 and was used in several experiments in Jefferson Lab's experimental Hall B. The HyCal PbWO<sub>4</sub> crystals have the same transverse size of  $2.05 \text{ cm} \times 2.05 \text{ cm}$ , but a smaller length of 18 cm. The initial CCAL calibration performed with the beam scan was fine-tuned during the PrimEx run by using showers of reconstructed Compton scattering candidates and constraining the reconstructed enegy in the event to the well know beam energy. The linearity of the CCAL electronics is being currently improved; modified active bases will be installed before the new PrimEx  $\eta$  run in 2021.

#### 3.6. Performance during the PrimEx run

In the PrimEx  $\eta$  experiment, we reconstruct Compton events<sup>322</sup> produced by beam photons with  $E_{\rm beam} > 6$  GeV. This energy<sup>323</sup> range is covered by the pair spectrometer [17], which deter-<sup>324</sup> mines the photon flux needed for cross section measurements.<sup>325</sup> In order to accept Compton events during data taking and to<sup>326</sup> reduce background originating from low-energy electromag-<sup>327</sup> netic and hadronic interactions, the CCAL was integrated to the<sup>328</sup> Level 1 trigger system of the GlueX detector. The physics trig-<sup>329</sup> ger was based on the total energy deposited in the forward and<sup>330</sup> Compton calorimeters. The GlueX trigger is implemented on<sup>331</sup> special-purpose programmable electronics modules with FPGA<sup>332</sup> chips. The trigger architecture is described in Ref. [18]. The<sup>333</sup> trigger rate as a function of the energy threshold is presented<sup>334</sup> in Fig. 11. We collected data using a relatively small energy<sup>335</sup> threshold of 3 GeV at a trigger rate of about 18 kHz. This rate<sup>336</sup>

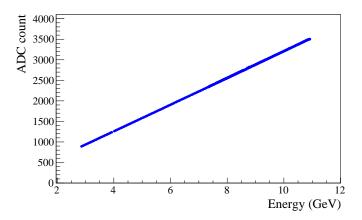


Figure 7: ADC signal pulse amplitude in the CCAL module as a function of the beam energy.

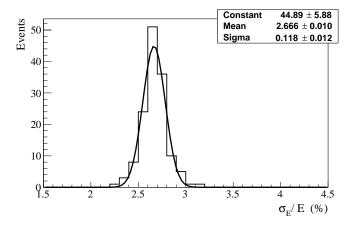


Figure 8: Relative energy resolution of 140 PbWO<sub>4</sub> modules installed on the CCAL measured with 6 GeV beam photons.

did not produce any dead time in the DAQ and trigger systems. The trigger rate was reproduced by a detailed Geant detector simulation.

The rate in the CCAL modules during the experiment is presented in Fig. 12. In this plot, the photon beam goes through the center of the hole of  $2\times 2$  modules in the middle of the detector. The rate is the largest in innermost detector layers closest to the beam line. The maximum trigger rate in the detector module was about 200 kHz for an energy threshold of 30 MeV, which is equivalent to a signal pulse amplitude of 5 mV. Before the experiment, we performed a high-rate performance study of the PMT and electronics using a laser and an LED pulser and did not find any degradation of the PMT gain in run conditions similar to the PrimEx  $\eta$  up to 3-4 MHz [19].

Timing resolution of reconstructed showers is an important characteristic of the detector performance. In the experiment we used timing information provided by the calorimeters to identify the accelerator beam bunch for which the interaction occured in the detector and therefore relate showers in the calorimeters with hits in the tagging detector, from the same event. A hit in the tagging detector defines the energy of the

339

320

<sup>&</sup>lt;sup>1</sup>The function named after the Crystal Ball collaboration.

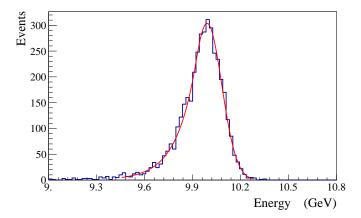


Figure 9: Energy distribution deposited by 10 GeV beam photons. The spectrum is fit to a Crystal Ball function.

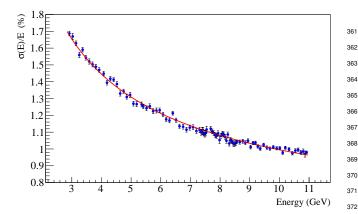


Figure 10: Energy resolution as a function of the photon energy.

341

343

344

347

348

349

351

352

355

356

357

360

beam photon. The time of a hit in the calorimeter module is 378 provided by an algorithm implemented on the programmable 379 FPGA chip of the flash ADC. The algorithm performs a search<sub>380</sub> of the peak of the signal pulse and determines the time from 381 the shape of the leading edge of the pulse. The times of all hits<sub>382</sub> constituting the CCAL shower are combined to form the shower 383 time by using an energy-weighted sum. The time difference be-384 tween beam photon candidates and CCAL showers originating 385 from Compton events is presented in Fig. 13. The main peak on  $_{386}$ this plot corresponds to beam photons and CCAL clusters produced in the same accelerator bunch. Satellite peaks, separated by the beam bunch period of about 4 ns, represent accidental<sub>387</sub> beam photons not associated with the detector time. The time resolution of CCAL showers is improved with the increase of 388 the shower energy and was measured to be about 330 ps and  $140_{389}$ ps for 1 GeV and 9 GeV showers, respectively. In the PrimEx<sub>390</sub> experiment, CCAL allowed a clear separation of beam photons<sub>391</sub> originating from different beam bunches.

An electron and photon produced in the Compton scattering<sup>393</sup> process were detected by reconstructing two showers, one in the<sup>394</sup> FCAL and another one in CCAL. The event topology of the re-<sup>395</sup>

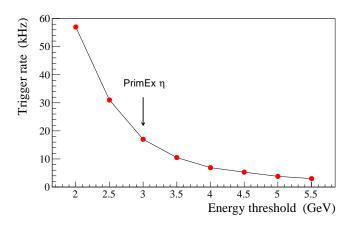


Figure 11: Trigger rate as a function of the total energy deposited in the FCAL and CCAL. The arrow indicates the energy threshold used in PrimEx  $\eta$  production runs.

action is such that the more energetic electron predominantly goes into the Compton calorimeter, while the photon strikes the FCAL. Reconstruction of electromagnetic showers in the FCAL is performed using an algorithm described in Ref. [20], which is a part of the standard GlueX reconstruction software. For the CCAL, we implemented an algorithm originally developed for the GAMS spectrometer [21], which was later adopted for the HyCal [13] in JLab's experimental Hall B. The algorithm provides a good separation of overlapping showers in the calorimeter by using profiles of electromagnetic showers. The elasticity distribution, defined as the reconstructed energy in the event minus the beam energy, is presented in Fig. 14 for Compton candidates produced by beam photons in the energy range between 6 GeV and 7 GeV. The solid line shows the fit of this distribution to the sum of a Gaussian and a second order polynomial function. The energy resolution of reconstructed Compton candidates is about 130 MeV. In this plot, we subtracted background originating from multiple beam photon candidates in the event due to accidental hits in the GlueX tagging detectors. The background was measured using off-time interactions and amounted to about 15%. The relatively small background, on the level of 10%, produced by interactions of beam photons with the beamline material downstream the GlueX target was measured using empty-target runs and was excluded from Fig. 14. The CCAL allowed to clearly reconstruct Compton events in the PrimEx  $\eta$  experiment.

#### 4. Upgrade of the GlueX forward calorimeter

The forward calorimeter of the GlueX detector consists of 2800 lead glass modules, each with a size of 4 cm  $\times$  4 cm  $\times$  45 cm, and is positioned about 6 m downstream of the target, as shown in Fig. 1. The FCAL covers a polar angle of photons produced from the target between 1° and 11° and detects showers with energies in the range of 0.1 - 8 GeV. The Cherenkov light produced in the module is detected by FEU-84-3 photomultiplier tubes, instrumented with

375

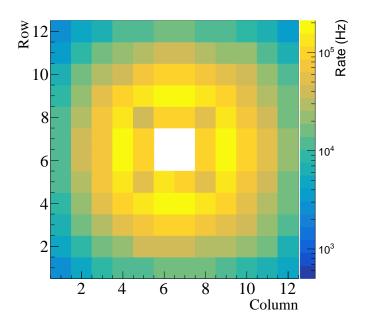


Figure 12: Rates in the CCAL modules during PrimEx  $\eta$  production run. The energy threshold corresponds to 30 MeV. The beam goes through the center of the hole in the middle of the plot.

Cockcroft-Walton bases [22]. The typical energy resolution of the FCAL is  $\sigma_E/E = 6.2\%/\sqrt{E} \oplus 4.7\%$ .

396

397

400

401

402

403

404

405

407

408

409

411

412

413

415

416

417

418

420

421

422

423

424

425

The future physics program with the GlueX detector in Hall D will require an upgrade of the inner part of the forward calorimeter with high-granularity, high-resolution PbWO<sub>4</sub> crystals. The lead tungstate insert will improve the separation of clusters in the forward direction and the energy resolution of reconstructed photons by about a factor of two. Lead tungstate crystals possess better radiation hardness compared to lead glass, which is important for the long term operation of the 426 detector at high luminosity. We propose to build a 1 m  $\times$  1 m<sub>427</sub> insert, which will require about 2496 modules. Similar to the<sub>428</sub> CCAL, the insert will have a beam hole of  $2 \times 2$  modules and  $_{429}$ a tungsten absorber used to cover the detector layer closest to430 the beamline. A schematic view of the FCAL frame with the 431 installed lead tungstate insert is presented in Fig. 15. Due to the 432 different size of the lead glass bars and lead tungstate crystals,433 the lead glass modules stacked around the PbWO4 insert will434 form four regions with a relative offset between modules; those regions are shown in green color in this plot.

The PbWO<sub>4</sub> module design of the FCAL insert will essen-436 tially be the same as for the CCAL, except for some small<sub>437</sub> modifications needed to handle the magnetic field present in<sub>438</sub> the FCAL region. The PMT housing made of the G-10 fiber-439 glass material will be replaced by iron housing in order to re-440 duce the magnetic field. The housing length will be increased to<sub>441</sub> extended the magnetic shield beyond the PMT photo cathode.442 An acrylic optical light guide will be inserted inside the PMT<sub>443</sub> housing to couple the crystal and PMT.

The upgraded FCAL will be operated in GlueX experiments445

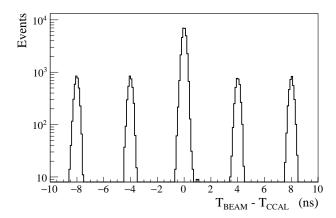


Figure 13: Time difference between beam photons and reconstructed CCAL showers for Compton candidates. Peaks are separated by the beam bunch period of 4 ns

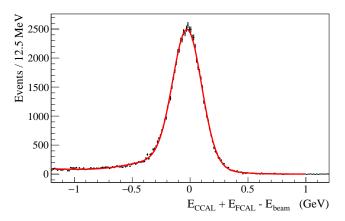


Figure 14: Elasticity distribution of reconstructed Compton candidates.

using a 30 cm long liquid hydrogen target at the designed photon flux of  $5 \cdot 10^7 \ \gamma$ /sec in the energy range between 8 GeV and 9 GeV. The designed luminosity is significantly larger than that used in the PrimEx  $\eta$  experiment and was achieved after the PrimEx run in the fall of 2019. In order to finalize the design of the PMT electronics, it is important to understand detector rates in the FCAL insert, especially in layers close to the beamline. We used CCAL during high-intensity GlueX runs to study run conditions for the FCAL insert.

# 4.0.1. PMT magnetic shield

The longitudinal (directed along the beamline) and transverse (directed perpendicular to the axis of of the beamline) components of the magnetic field produced by the GlueX solenoid magnet in the FCAL PbWO $_4$  insert area vary between 40 - 50 Gauss and 0 - 8 Gauss, respectively. The longitudinal field is the largest on the beamline, where the transverse component is practically absent. We studied the PMT magnetic shielding using a prototype consisting of an array of 3  $\times$  3 PMT iron housings made of AISI 1020 steel, which was positioned in the middle of Helmholtz coils. Each housing had a size of

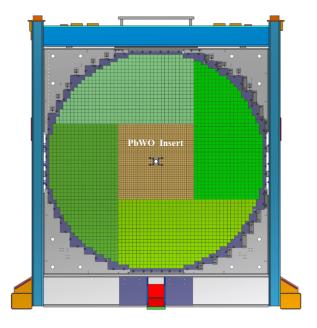


Figure 15: FCAL frame with calorimeter modules installed: PbWO<sub>4</sub> crystals (brown area), lead glass blocks (green). The photon beam passes through the hole in the middle of the calorimeter.

20.6 mm  $\times$  20.6 mm  $\times$  100 mm with a 19.9 mm round hole in the middle for the PMT. This corresponds to the realistic size of the magnetic shield that will be used in the calorimeter module<sub>478</sub> assembly. Inside the housing we inserted two layers of  $\mu$ -metal<sub>479</sub> Co-Netic cylinders, with thicknesses of 350  $\mu$ m and 50  $\mu$ m,<sub>480</sub> separated from each other by a Kapton film. The thickest cylin-<sub>481</sub> der was spot welded and annealed.

448

449

450

452

454

455

456

457

458

459

460

462

463

464

465

466

467

468

470

471

472

473

474

476

477

The Helmholtz coils had a diameter of about 1 m and can483 generate a uniform magnetic field with variable strength below<sup>484</sup> 100 Gauss. A Hall probe was inserted into the central mod-485 ule of the prototype to measure the magnetic field at different486 Z-positions along the length of the cylinder. The field was mea-487 sured for two different orientations of the prototype with respect to the magnetic field: field oriented along the PMT (longitudi-488 nal,  $B_z$ ) and perpendicular to the PMT housing (transverse,  $B_x$ ).<sub>489</sub> Field measurements are presented in Fig. 16. The PMT shield<sub>490</sub> significantly reduces both the longitudinal and transverse fields<sub>491</sub> to the level of  $B_{\rm z}\sim 1$  Gauss and  $B_{\rm x}\ll 1$  Gauss. The trans-492 verse field, which is well shielded, is more critical for the PMT<sub>493</sub> operation, as it is directed perpendicular to the electron trajec-494 tory inside the photo tube and deflects electrons, resulting in<sub>495</sub> the degradation of the photon detector efficiency and gain. The<sub>496</sub> field reaches a plateau at Z = 3 cm from the face of the hous-497 ing. We will use 3.5 cm long acrylic light guides, in order to<sub>498</sub> place the most sensitive to the magnetic field area of the PMT<sub>499</sub> between the photocathode and the last dynode (4.6 cm long) in<sub>500</sub> the region with the smallest magnetic field, as shown in Fig. 16.501

We studied the performance of the shielded PMT in the mag-502 netic field using an LED pulser. A blue LED with a light dif-503 fuser was placed about 20 cm from the PMT housing prototype504 and was aligned with the middle module. The PMT response505 was measured for different pulse amplitudes and operational506

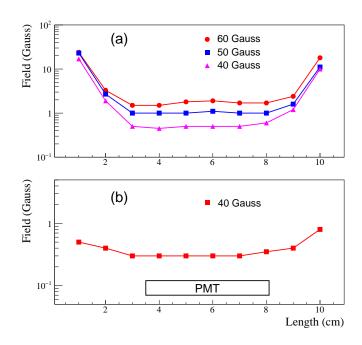


Figure 16: Magnetic field distribution inside the PMT shield housing as a function of the distance from the housing face. Plot (a) corresponds to the longitudinal field and plot (b) corresponds to the transverse field. Markers denote different field values produced by the Helmholtz coils.

high voltage. In order to study the contributions from longitudinal and transverse field components we rotated the prototype by different angles. Signal amplitudes as a function of the magnetic field measured in the prototype tilted by about 10 degrees are presented on the left plot of Fig. 17. Amplitudes, normalized to measurements without magnetic field, are shown on the bottom plot. The relative degradation of the signal amplitude for the maximum field in the FCAL insert region of B = 50 Gauss ( $B_z = 49$  Gauss and  $B_x = 8.6$  Gauss) was measured to be less than 1%.

# 4.0.2. Light guide studies

Studies of the magnetic shielding demonstrated that the PMT has to be positioned inside the iron housing and Co-Netic  $\mu$ -metal cylinder at the distance of at least 3 cm from the face of the PbW0<sub>4</sub> crystal. In the FCAL insert module, we decided to use a 3.5 cm long acrylic cylindrical light guide with a diameter of 18.5 mm between the PMT and the crystal. The light guide is wrapped with reflective ESR foil. The light guide is attached to the PMT with Dymax 3094 UV curing glue. Optical coupling to the crystal is provided by a "silicon cookie": a 1 mm thick transparent rubber cylinder made of the room temperature vulcanized silicon compound, RTV615. The silicon cookie is not glued to the light guide and the crystal, so the module can be easily disassembled if its PMT needs to be replaced.

We compared light losses of the FCAL insert module instrumented with the light guide with the CCAL module, where the PMT was coupled directly to the crystal using an optical grease. Light collection was measured using electrons provided by the Hall D pair spectrometer (PS) [17]. The PS is used to measure

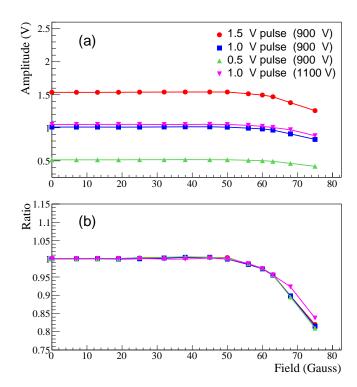


Figure 17: Signal amplitudes of shielded PMT induced by an LED as a function of the magnetic field (a). Amplitudes, normalized to measurements without magnetic field (b). The PMT response was measured for different intensities of light pulse and HV settings as shown by different polymarkers.

the flux of beam photons delivered to the experimental hall by detecting electromagnetic electron-positron pairs produced by the photons in a thin converter inserted to the beam. Leptons from the pair are deflected in a dipole magnet and detected using two scintillator detectors placed in the electron and positron arms of the spectrometer. Each detector consists of 145 tiles, which cover the energy range of leptons between 3 GeV and 6 GeV.

We first positioned the CCAL module behind the PS and<sub>533</sub> measured ADC amplitudes of signal pulses induced by elec-<sub>534</sub> trons with the energy of about 4 GeV. The module was sub-<sub>535</sub> sequently modified by adding the light guide to the same PMT<sub>536</sub> and crystal and was placed to the same spot of the PS test setup.<sub>537</sub> Results of the measurements are presented Fig. 18. The ADC<sub>538</sub> amplitude of the calorimeter module is presented as a function<sub>539</sub> of the PS tile for the two module configurations with and with-<sub>540</sub> out the light guide. The light guide results in a relatively small<sub>541</sub> loss of light of about 15% compared with the CCAL module.<sub>542</sub> We note that wrapping the light guide with the reflective material is important. Losses in unwrapped light guide constitute about 35%. We repeated light collection measurements using two more modules and obtained consistent results.

#### 4.0.3. Detector rate

The PMT anode current is one of the critical characteristics<sub>547</sub> that have to be considered during the design of the PMT divider.<sub>548</sub>

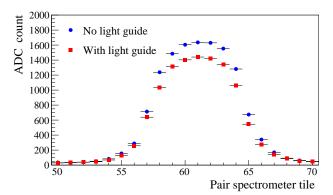


Figure 18: ADC amplitudes of the calorimeter module as a function of the pair spectrometer tile for two configurations: the PMT directly coupled to the PbWO<sub>4</sub> crystal (circles), and the PMT coupled to the module using an optical light guide (boxes).

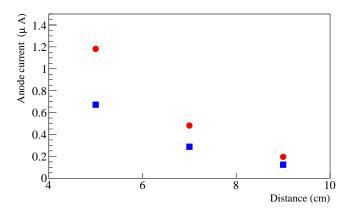


Figure 19: Typical PMT anode current of CCAL modules positioned at different distances from the beamline. Circles correspond to the nominal GlueX luminosity, boxes correspond to 60% of the nominal luminosity.

Typically the anode current should be on the level of a few micro amperes and significantly smaller than the divider current in order to provide stable performance of the PMT base and prevent the long-term degradation of the PMT. The anode current was measured using a special random trigger, which was used to read out flash ADC raw data for each CCAL channel in a time window of 400 ns. The window size corresponds to 100 flash ADC samples. The average ADC voltage in the readout window was determined by summing up amplitudes in the readout window and normalizing them to the window size. The anode current can be related to the average ADC voltage as

$$I = \frac{\bar{A}}{R} \cdot \frac{1}{G},\tag{2}$$

where  $\bar{A}$  is the ADC voltage in units of Volts, R is the input impedance of the amplifier ( $\sim 50~\Omega$ ), and G is the amplifier gain of 24. The typical anode current measured in CCAL modules situated at different distances from the beam line is presented in Fig. 19. Modules from the first CCAL layer closest

to the beamline and the outer most layer were not used in the 602 analysis. The inner modules were covered by a Tungsten ab-603 sorber and the outer modules were obscured by the FCAL. The 604 rate in the detector is dominated by the forward-directed elec-605 tromagnetic background. The anode current is the largest in 606 the innermost layer of the detector closest to the beam line and607 amounts to about 1.4  $\mu$ A. This current can be compared to the 608 PMT divider current of 300 µA. The CCAL measurements cane be used to estimate anode current in the FCAL lead tungstate<sub>610</sub> insert. The largest PMT current in the PbWO<sub>4</sub> module closest<sub>611</sub> to the beam line is conservatively estimated to be about 20  $\mu$ A<sub>612</sub> for a PMT base operated at 1 kV, assuming that no amplifier is<sub>613</sub> used. The detector rate drops rapidly with the increase of the614 radial distance from the beamline. We are considering to instru-615 ment PMTs in a few inner layers with an amplifier with a gain<sub>616</sub> of 5 and to omit the amplifier on other modules.

#### 5. Neutral Particle Spectrometer

551

552

553

554

555

559

560

561

562

563

565

566

567

568

569

570

571

573

574

575

577

578

581

582

583

584

585

586

589

590

592

593

594

597

598

600

601

The NPS is a new facility in Hall C that will allow access<sub>621</sub> to precision measurements of small cross sections of reactions<sub>622</sub> with neutral final states. The NPS consists of an electromag-<sub>623</sub> netic calorimeter preceded by a sweeping magnet. As operated<sub>624</sub> in Hall C, it replaces one of the focusing spectrometers.

The NPS science program currently features six fully ap-626 proved experiments. E12-13-010 [4] and E12-06-114 [5] ex-627 periments will measure the Exclusive Deeply Virtual Comp-628 ton Scattering and  $\pi^0$  cross sections to the highest  $Q^2$  acces-629 sible at Jefferson Lab. Both experiments will provide impor-630 tant information for understanding Generalized Parton Distri-631 butions (GPDs). The E12-13-007 [6] experiment will study<sub>632</sub> semi-inclusive  $\pi^0$  electroproduction process and seeks to val-633 idate the factorization framework that is needed by the entire<sub>634</sub> 12 GeV Jefferson Lab semi-inclusive deep-inelastic scattering<sub>635</sub> program. Measurements of Wide-Angle and Timelike Compton<sub>636</sub> Scattering reactions will be performed by the E12-14-003 [7]<sub>637</sub> and E12-17-008 [8] experiments. These measurements will638 allow to test universality of GPDs using high-energy photon<sub>639</sub> beams. The NPS will also be used in the E12-14-005 [9] exper-640 iment to study exclusive production of  $\pi^0$  at large momentum<sub>641</sub> transfers in the process  $\gamma p \to \pi^0 p$ . Hard exclusive reactions<sub>642</sub> provide a testing ground for quantum chromodynamics at inter-643 mediate energies.

The NPS science program requires neutral particle detection over an angular range between 6 and 57.3 degrees at distances of between 3 and 11 meters  $^2$  from the experimental target. The experiments will use a high-intensity beam of electrons with the energies of 6.6, 8.8, and 11 GeV, and a typical luminisity of  $\sim 10^{38}~\rm cm^{-2}s^{-1}$  as well as a secondary beam of photons magnet with integrated field strength of 0.3 Tm will be installed magnet with integrated field strength of 0.3 Tm will be installed background of charged particle tracks originating from the tareset. The photon detection is the limiting factor of the experiments. Exclusivity of the reaction is ensured by the missing

mass technique and the missing-mass resolution is dominated by the energy resolution of the calorimeter. The calorimeter should provide the spacial resolution of 2-3 mm and the energy resolution of about  $2\%/\sqrt{E}$ .

The NPS consists of  $1080 \text{ PbWO}_4$  crystals that form an array of  $30 \times 36$  modules. Similarly to the FCAL insert in Hall D, the NPS will be built from the crystals of the same size, and instrumented with the same PMTs and readout electronics. Each crystal will be wrapped with the reflective ESR foil and positioned inside the support structure, where the modules will be separated from each other by thin carbon fiber plates. The detector will be positioned inside a temperature-controlled box on a movable platform. The details of the mechanical assembly and commissioning of the NPS are currently under development and will be described in a forthcoming publication.

The maximum rate in the calorimeter of the NPS experiments will be maintained on the level below 1 MHz per module. Based on Monte Carlo simulation, the integrated doses for the E12-13-010 experiment are 1.7 MRad at the center and 3.4 MRad at the edges of the calorimeter and the maximum anticipated dose rate is on the level of a kRad/hour. The integrated doses for the other experiments do not exceed 500 kRad<sup>3</sup>. The detector will be instrumented with a light monitoring system. Light from a blue LED will be distributed to each calorimeter module through a quartz optical fiber, attached to the crystal from the PMT side. The LED system will be used for calibration and allow to cure crystals whose performance is degraded due to radiation. Signal pulses from the PMT will be digitized using flash ADCs hosted in VXS crates. Energy deposition in the calorimeter, will be used in the trigger system of the experiments. Integration of the detector to the trigger will be performed by means of the trigger electronics modules designed at Jefferson Lab.

The radiation hardness and good optical quality of lead tungstate crystals are critical for the NPS calorimeter. The NPS collaboration, in a synergistic effort with the EIC eRD1 consortium, has characterized to date over 1200 PbWO<sub>4</sub> crystals produced by CRYTUR and SICCAS from 2014 to the present. The results of these studies have been published in Ref. [10]. CRYTUR crystal samples were found to have greater overall uniformity in transmittance and light yield, and better radiation hardness. Of the samples characterized by the NPS collaboration 140 SICCAS crystals have been used in the CCAL detector.

#### 6. Summary

We described the design and performance of the Compton calorimeter, which was constructed using 140 lead tungstate PbWO<sub>4</sub> crystals recently produced by SICCAS. The calorimeter was successfully used in the PrimEx  $\eta$  experiment in spring of 2019 for reconstruction of Compton scattering events. The CCAL served as a prototype for two large-scale electromagnetic calorimeters based on the PbWO<sub>4</sub> crystals: the lead

<sup>&</sup>lt;sup>2</sup>The minimum NPS angle at 3m is 8.5 degrees; at 4m it is 6 degrees.

<sup>&</sup>lt;sup>3</sup>The radiation doses are larger than that for the FCAL lead tungstate insert, where the integrated dose for modules positioned closest to the beamline is smaller than 200 kRad.

tungstate insert of the forward calorimeter of the GlueX detec-715
tor and the neutral particle spectrometer. Experience gained<sup>716</sup>
during construction and operation of the CCAL provided im-<sup>717</sup>
portant information for finalizing the design of FCAL PbWO<sub>4</sub>
modules and PMT dividers and also served to further optimize
the NPS calorimeter. We presented the design of the FCAL lead
tungstate insert and gave an overview of the NPS project.

#### 7. Acknowledgments

This work was supported by the Department of Energy. Jefferson Science Associates, LLC operated Thomas Jefferson National Accelerator Facility for the United States Department of Energy under contract DE-AC05-06OR23177. This work was supported in part by NSF grants PHY1714133 and PHY2012430. We thank the NPS collaboration/project for providing PbWO<sub>4</sub> crystals and PMTs used in the construction of the CCAL.

#### 669 References

671

672 673

674

676

677

679 680

681

682

683

684

685 686

687

688

689

690

691

695

696

698

700

701

709

- [1] S. Adhikari, et al., Nucl. Instrum. Meth. A 987, 164807 (2021).
- [2] JLab Experiment E12-12-002A, Eta Decays with Emphasis on Rare Neutral Modes: The JLab Eta Factory (JEF) Experiment, https://www.jlab.org/exp\_prog/proposals/14/PR12-14-004.pdf.
- [3] T. Horn, A PbWO<sub>4</sub>-based Neutral Particle Spectrometer in Hall C at 12 GeV JLab, J. Phys. Conf. Ser. 587 (2015) no.1, 012048.
- [4] JLab experiment E12-13-010, Exclusive Deeply Virtual Compton and Neutral Pion Cross-Section Measurements in Hall C, https://www. jlab.org/exp\_prog/proposals/13/PR12-13-010.pdf.
- [5] JLab experiment E12-06-114, Measurements of the Electron-Helicity Dependent Cross Sections of Deeply Virtual Compton Scattering with CEBAF at 12 GeV, https://www.jlab.org/exp\_prog/proposals/ 06/PR12-06-114.pdf.
- [6] JLab experiment E12-13-007, Measurement of SemiInclusive π<sup>0</sup> Production as Validation of Factorization, https://www.jlab.org/exp\_prog/proposals/13/PR12-13-007.pdf.
- [7] JLab experiment E12-14-003, Wide-angle Compton Scattering at 8 and 10 GeV Photon Energies, https://www.jlab.org/exp\_prog/ proposals/14/PR12-14-003.pdf.
- [8] JLab experiment **E12-17-008**, Polarization Observables in Wide-Angle Compton Scattering at large s, t, and u, https://www.jlab.org/exp\_prog/proposals/17/PR12-17-008.pdf.
- [9] JLab experiment **E12-14-005**, Wide Angle, Exclusive Photoproduction of  $\pi^0$  Mesons, https://www.jlab.org/exp\_prog/proposals/14/PR12-14-005.pdf.
  - [10] T. Horn, et al., Nucl. Instrum. Meth. A 956, 163375 (2020).
  - [11] R. Abdul Khalek, et al., Science Requirements and Detector Concepts for the Electron-Ion Collider: EIC Yellow Report, arXiv:2103.05419, https://arxiv.org/pdf/2103.05419.pdf.
  - [12] JLab Experiment E12-10-011, A Precision Measurement of the η Radiative Decay Widthvia the Primakoff Effect, https://www.jlab.org/exp\_prog/proposals/10/PR12-10-011.pdf.
- [13] M. Kubantsev *et al.*, AIP Conf. Proc. 867, no.1, 51-58 (2006). A. Gasparian, Proceedings of the 11th International Conference on Calorimetry in High-Energy Physics, 109-115 (2004).
- [14] V. Popov and H. Mkrtchyan *et al.*, Proceedings of the IEEE conference,
   California, 2012.
- 707 [15] F. Barbosa et al., Proceedings of IEEE Nuclear Science Symposium, Hawaii. USA (2007).
  - [16] R. Brun and F. Rademakers, Nucl. Instrum. Meth. A 389 (1997), 81-86.
  - [17] F. Barbosa, et al., Nucl. Instrum. Meth. A **795**, 376-380 (2015).
  - [18] A. Somov, AIP Conf. Proc. 1560, no.1, 700-702 (2013).
- 712 [19] F. Barbosa, *et al.*, "Characterization of the NPS and CCAL readout,"
  713 GlueX-doc-3272, Jefferson Lab, (2017), https://halldweb.jlab.
  714 org/doc-public/DocDB/ShowDocument?docid=3272.

- [20] R. Jones, et al., Nucl. Instrum. Meth. A566, 366374, (2006).
- [21] A. Lednev, Preprint IHEP 93-153, Protvino (1993). F. Binon, et al., Nucl. Instrum. Meth. A 248, (1986).
  - 22] A. Brunner, et al., et al., Nucl.Instrum. Meth. A414 (1998).