

Measurement of the high-energy contribution to the Gerasimov-Drell-Hearn sum

A. Deur, for the GlueX collaboration

08/11/2020

Spokespersons: **M-M. Dalton** (JLab), **A.D.** (JLab), **J. Stevens** (W&M) and **S. Širca** (Ljubljana Univ.)

Proposal endorsed by the GlueX collaboration.

PAC47 encouraged LOI to be developed into a full proposal¹.

¹ The PAC recognizes the science case for this LOI and recommends preparation of a full proposal with focus on the extraction of the actual value of the GDH integral at high energies. The PAC would be pleased to see the development of ideas towards a full program with a circularly polarized photon beam and a polarized target in Hall D.

The Gerasimov-Drell-Hearn sum rule

$$\int_{\nu_{\text{thr}}}^{\infty} (\sigma^{3/2} - \sigma^{1/2}) \frac{d\nu}{\nu} = \frac{4\alpha S \pi^2 \kappa^2}{M^2}$$

Spin-dependent total photoproduction cross-sections
Photon energy
anomalous magnetic moment
spin
Mass

- **Fundamental Quantum Field Theory prediction.** Applicable to any type of target.
 - Different targets test different properties of Nature:
 - Electron target: QED test, electron compositeness...
 - Nucleon target: QCD, nucleon structure...
- Conditions for the sum rule to be valid:
 - Spin-dependent forward Compton amplitude $f_2(\nu)$ must vanish at large ν (no-subtraction hypothesis).
 - Imaginary part of f_2 , $(\sigma^{3/2} - \sigma^{1/2})$ must decrease with ν faster than $\sim 1/\ln(\nu)$ (for the integral to converge).
- GDH on nucleons: Integral gets most contribution for $\nu < 2$ GeV, but **if the sum rule fails, it would happen at high energy.**
 - Proton: $\nu > 3$ GeV not measured yet.
 - Neutron: $\nu > 1.8$ GeV not measured yet.
- Nucleon **polarized cross-section unknown at large ν .** Expected to be described by Regge theory.
- Relatively simple experiment and analysis.
- With its tagger, large solid angle detector and high flux, **Hall D is uniquely suited to perform a GDH experiment.**

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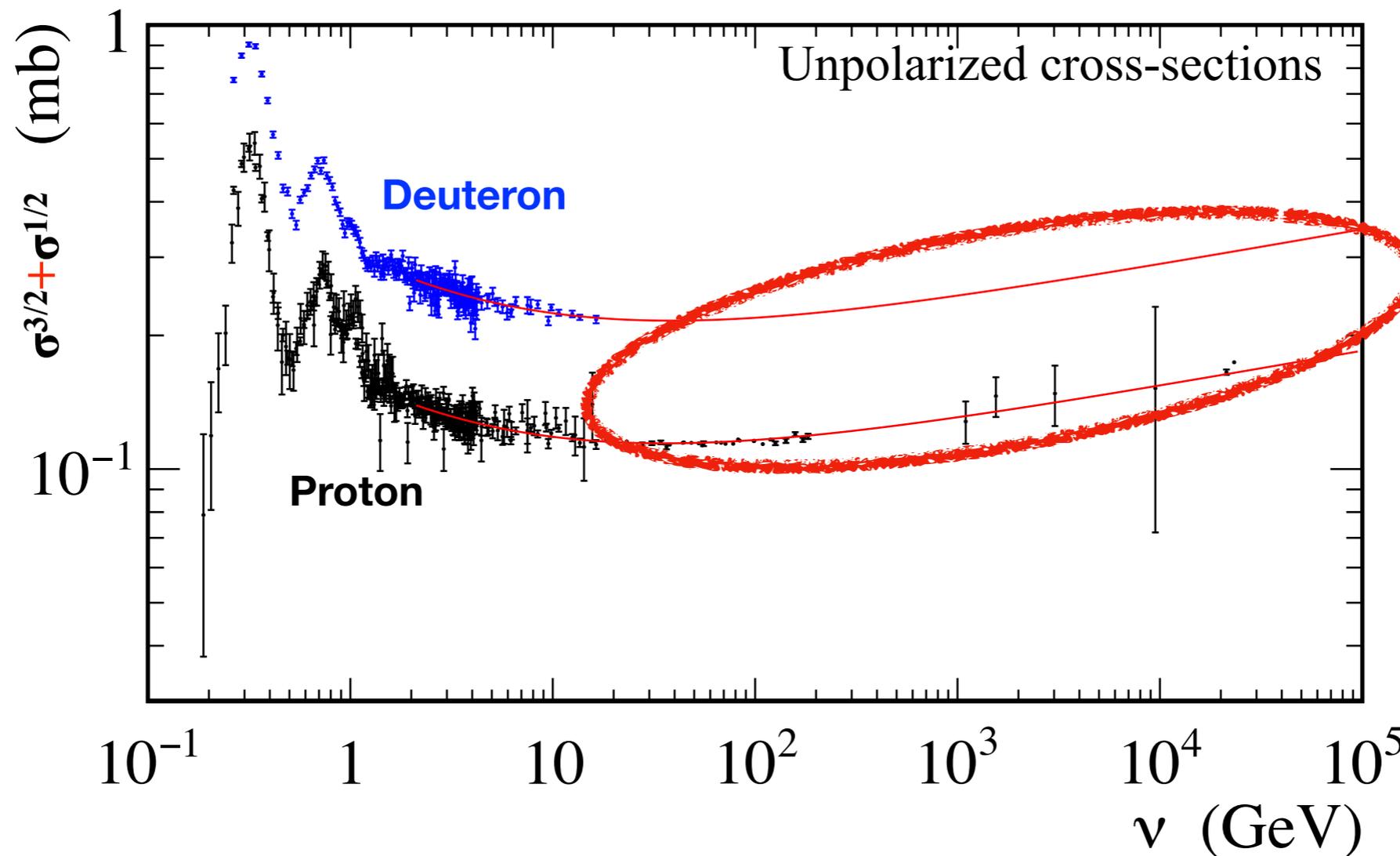
- **GDH: Fundamental QFT prediction.** For GDH on hadron: **QCD determines convergence of integral and sum rule validity.**
 - High energy measurement tests the possible violation mechanisms proposed in literature
 - Unpolarized version of GDH integral $\int(\sigma^{3/2}+\sigma^{1/2})dv$ does not converge.
- **High- v part not measured yet.** Possible violation mechanisms are at high- v , not at low- v .
- **Need to be past the resonance** bumps to perform reliable Regge-based fit to:
 - Check Regge theory **for the first time** in polarized case,
 - Provide a reliable basis for extrapolation to $v \rightarrow \infty$.
- Hall D's 3-12 GeV coverage: **extend coverage by factor 4 for proton and 6 for neutron/deuteron.**
 - **Sensitive domain for sum rule violation; smooth cross-section allows Regge-based fit.**
 - Regardless of the sum rule validity, it is an important domain to explore:
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 - **No non-zero signal seen yet** in the existing deuteron diffractive data (large v , low Q^2 data).
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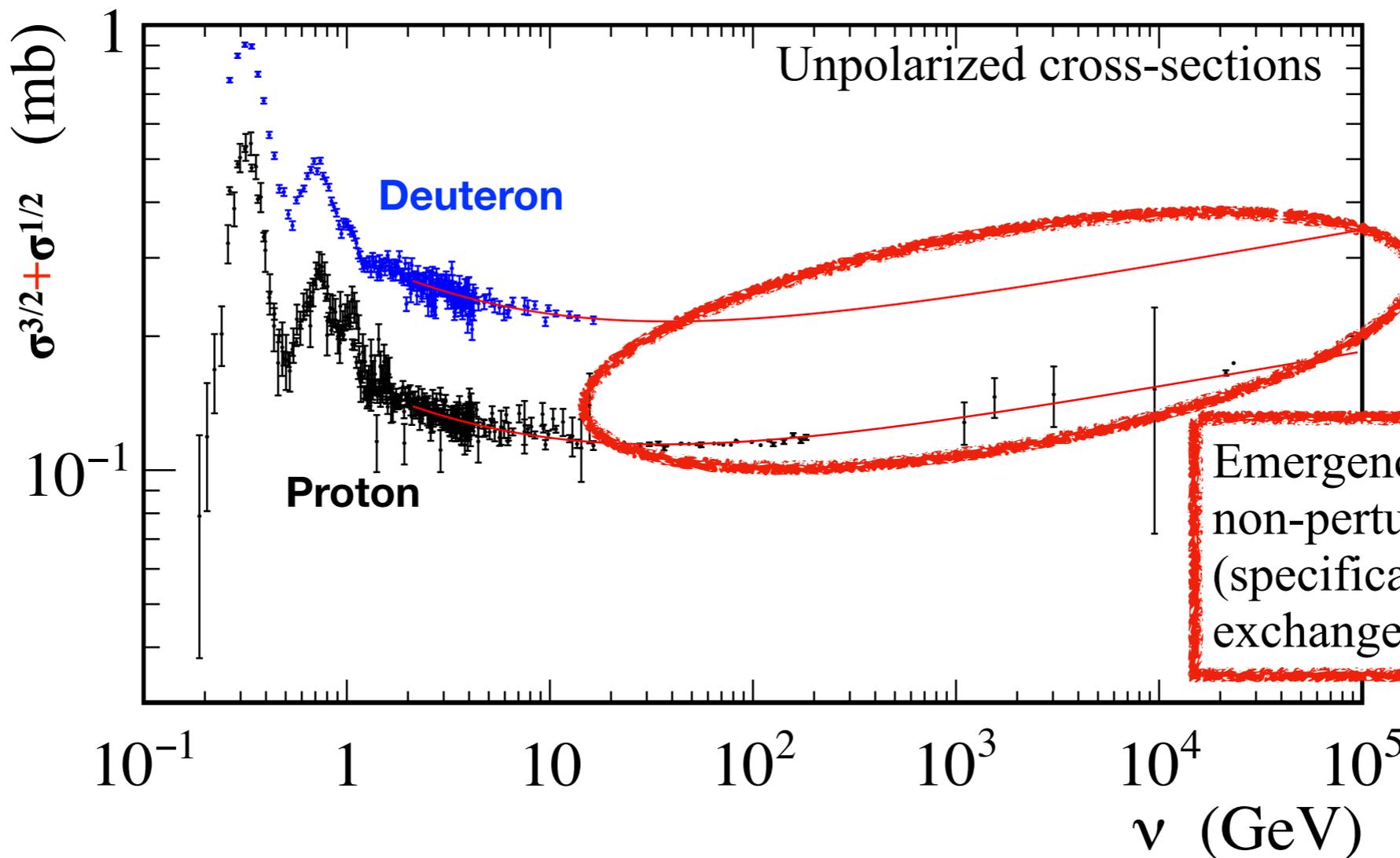
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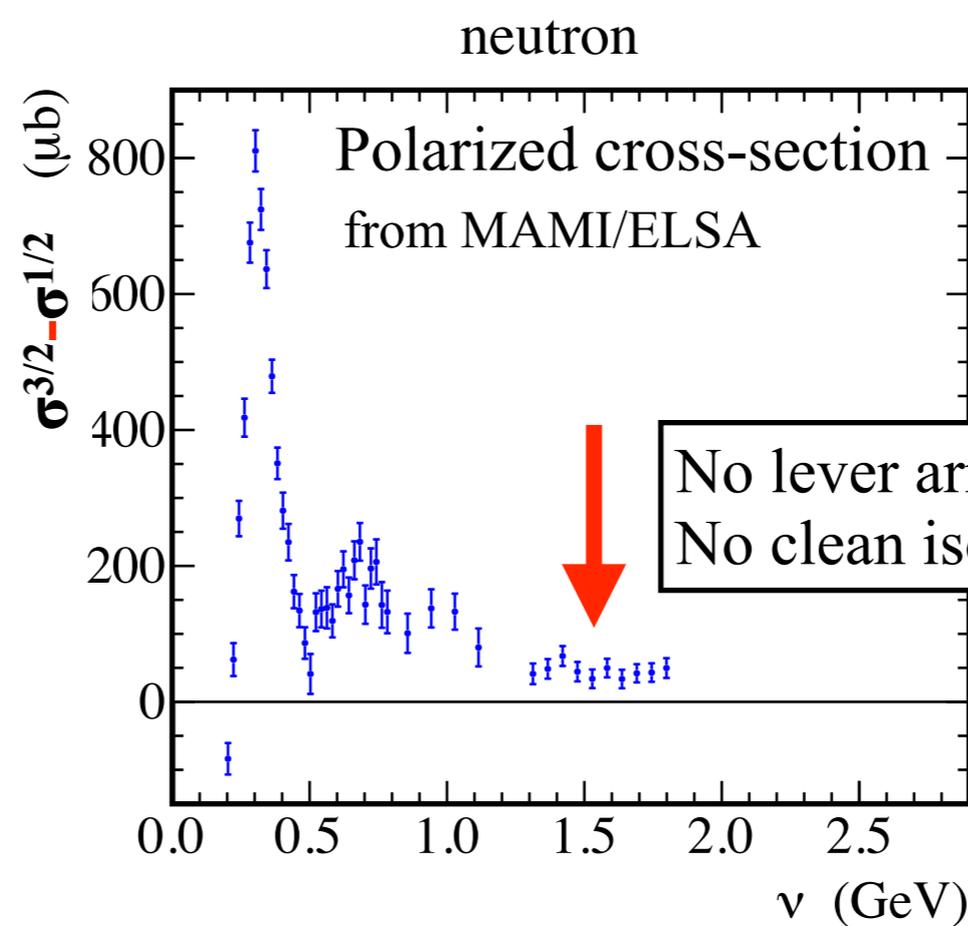
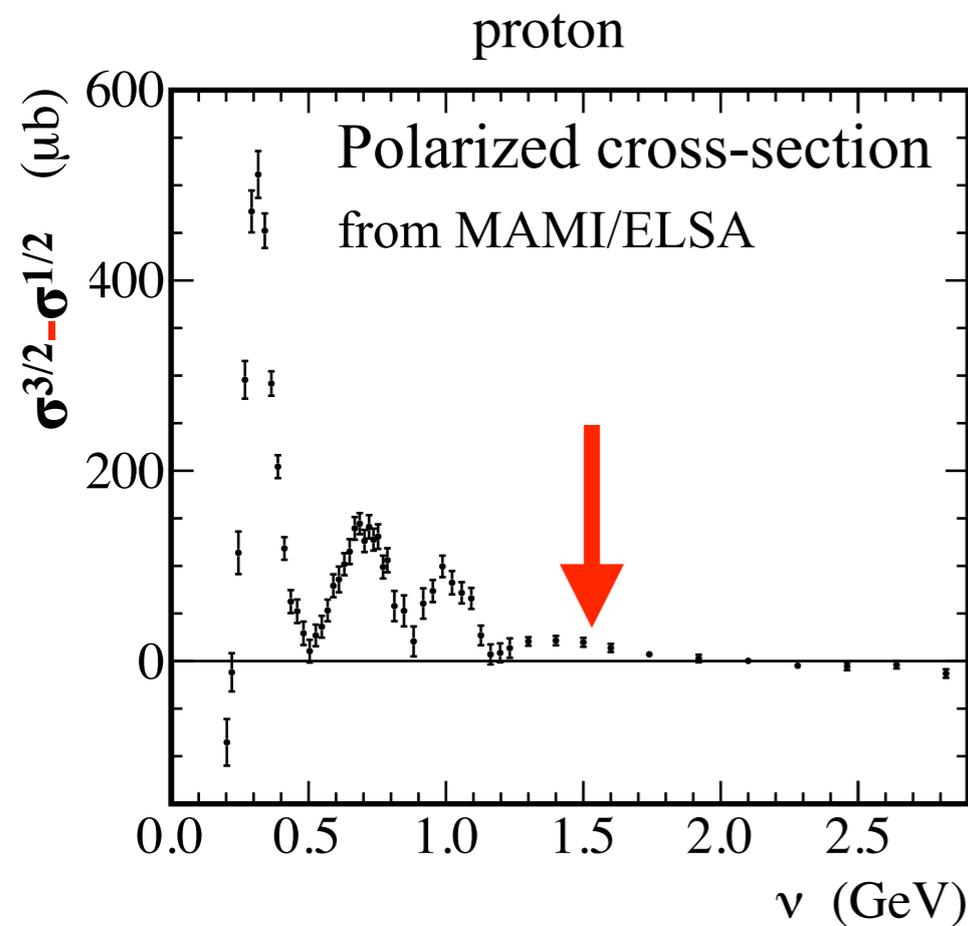
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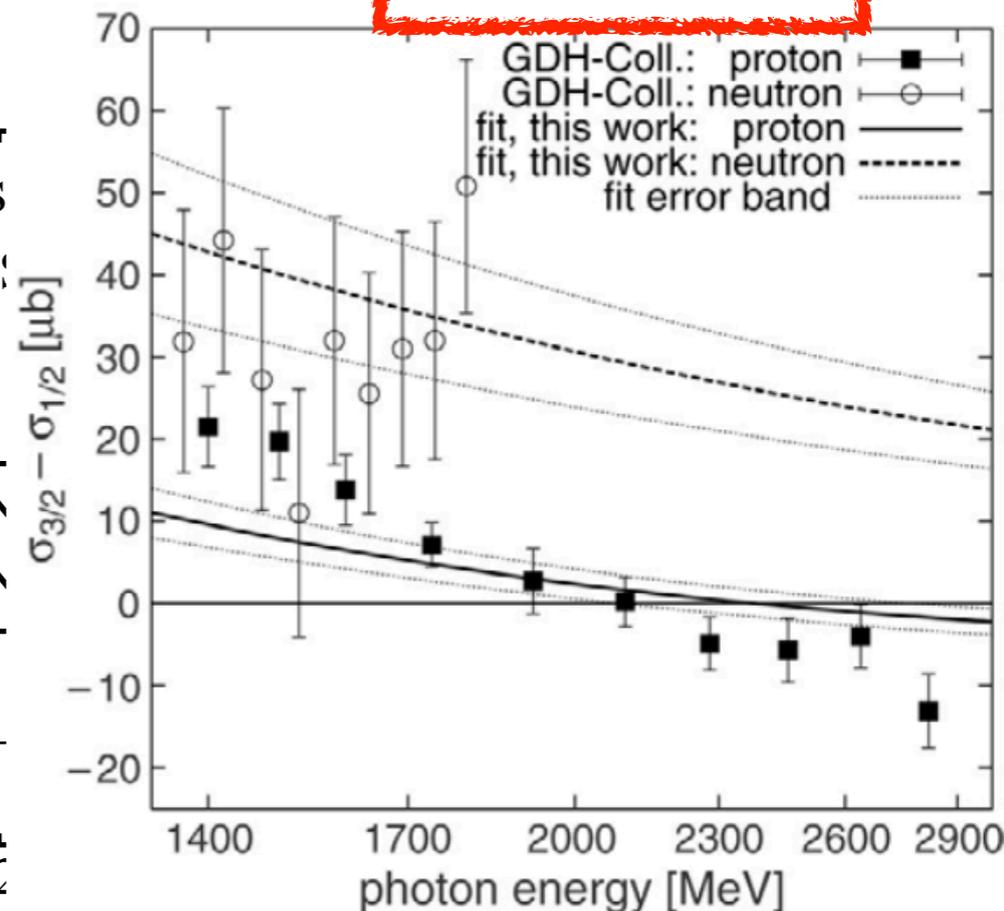
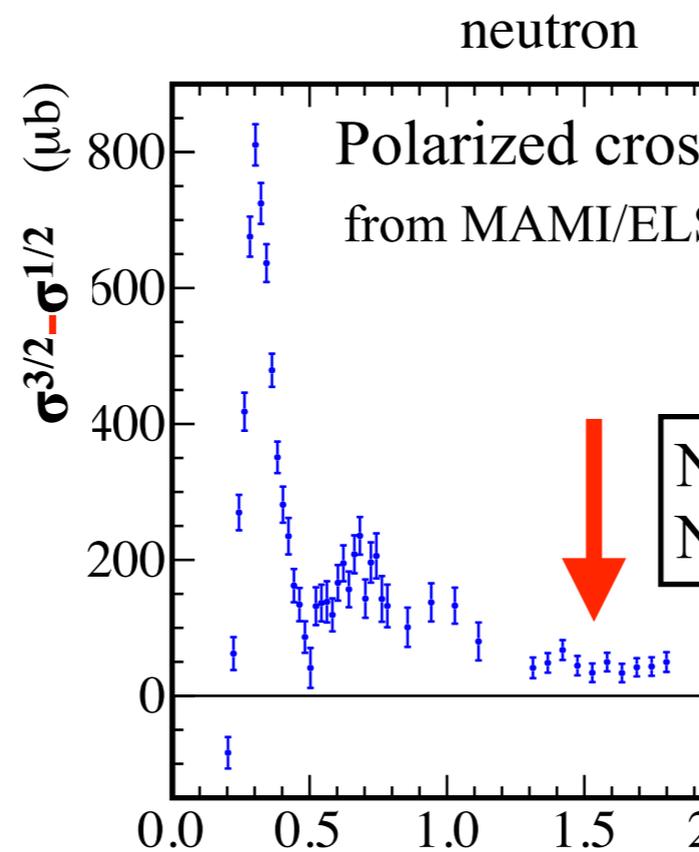
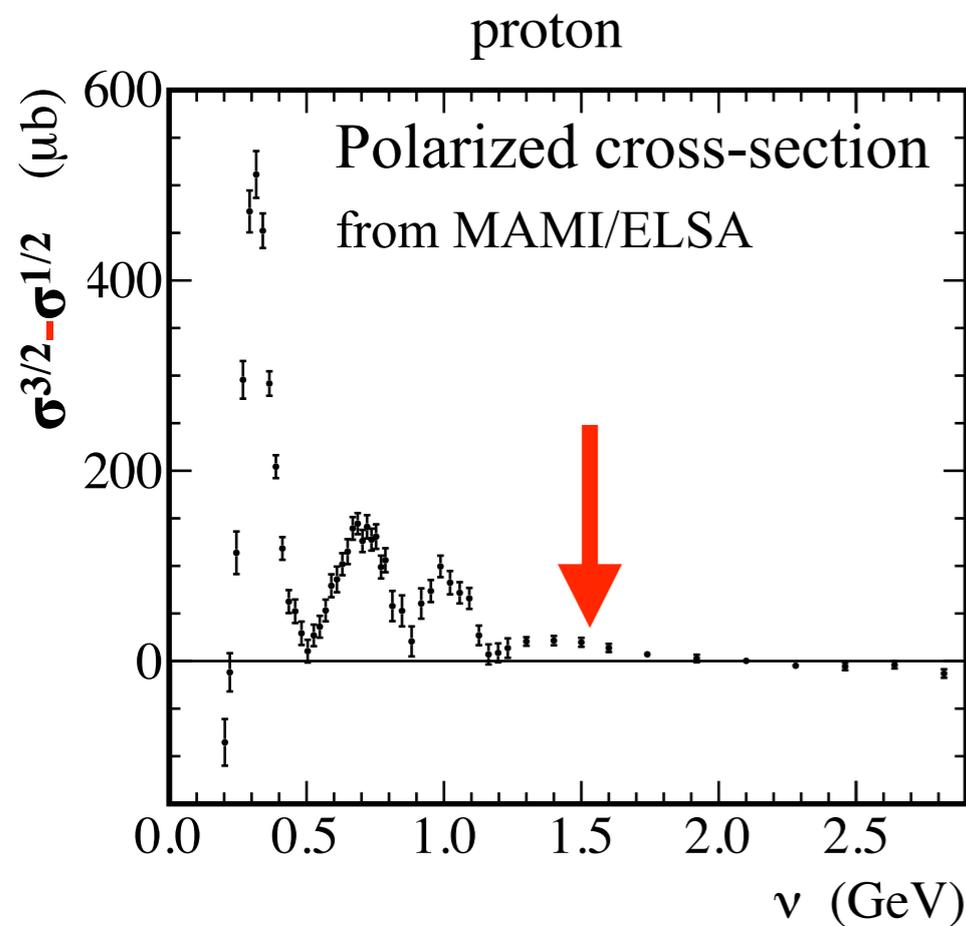
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- Need to measure both **proton** and **neutron** (deuteron) for
 - **Isospin separation**. Regge theory: **isoscalar** and **isovector** contributions to $(\sigma^{3/2}-\sigma^{1/2})$ come from different meson families ($f_1(1285)$ and $a_1(1260)$ respectively).
 - **Deuteron**:
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 - No neutron data above 1.8 GeV.
- Energy coverage:
 - **$3 < \nu < 12$ GeV** Standard running (CEBAF at 12 GeV).
 - **$1 < \nu < 4$ GeV** Requires CEBAF to run at 4 GeV, e.g. during low energy summer run.
- **First**: get **yield difference** $\Delta y(\nu) = N^{3/2} - N^{1/2}$. Sufficient to study GDH convergence.
 \Rightarrow **ν -independent normalization factors of secondary importance**
For ex. if $\sigma^{3/2}-\sigma^{1/2} = a\nu^b$, we get b , without need to extract an accurate a .
- **Suppress normalization factor uncertainties**.
- **Unpolarized backgrounds** (e.g. target dilution) **cancel**.
- **Then**: Extract absolute cross-section $\sigma^{3/2}-\sigma^{1/2}$: Study GDH SR validity for both nucleons + other goals
- 3 main ingredients needed:
 - **Circularly polarized** tagged **photon** beam; • Polarized electron beam;
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 - **Large solid-angle detector**. Hall D

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Experimental strategy and setup

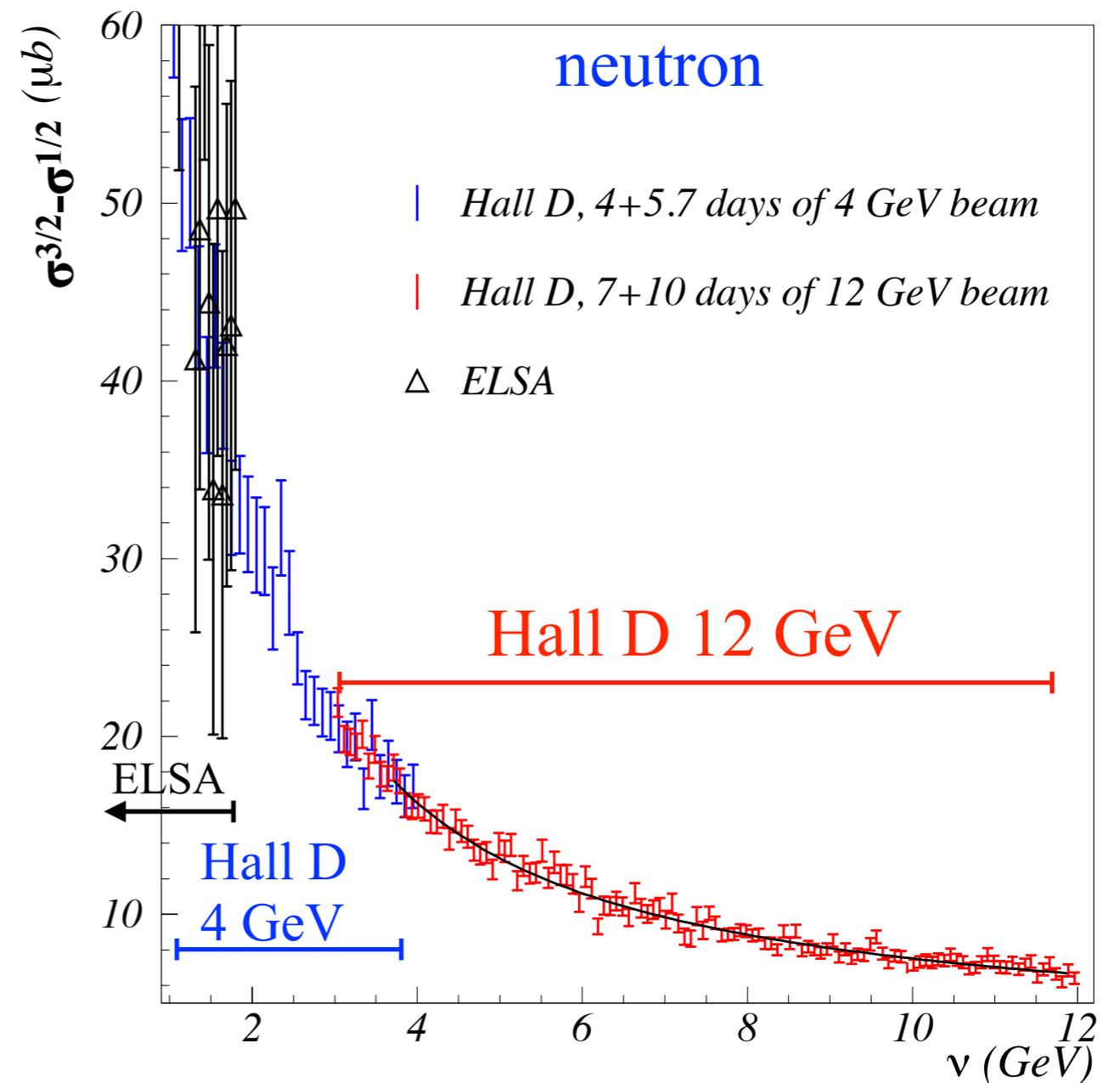
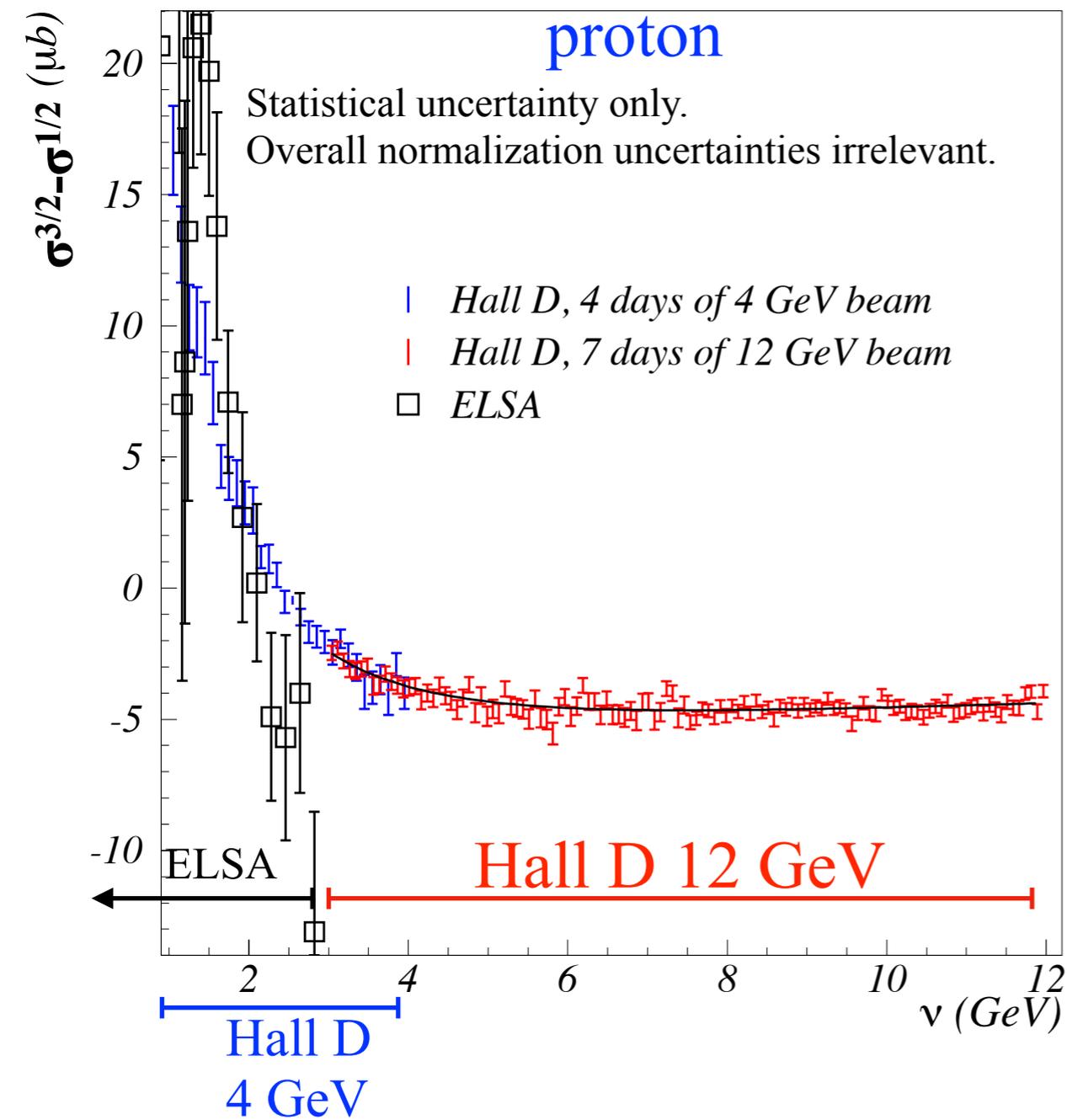
- Need to measure both **proton** and **neutron** (deuteron) for
 - **Isospin separation**. Regge theory: **isoscalar** and **isovector** contributions to $(\sigma^{3/2}-\sigma^{1/2})$ come from different meson families ($f_1(1285)$ and $a_1(1260)$ respectively).
 - **Deuteron**:
 - no non-zero $(\sigma^{3/2}-\sigma^{1/2})$ seen yet for D in diffractive regime (both photo- and electro-absorption).
 - No neutron data above 1.8 GeV.
- Energy coverage:
 - **$3 < \nu < 12$ GeV** Standard running (CEBAF at 12 GeV).
 - **$1 < \nu < 4$ GeV** Requires CEBAF to run at 4 GeV, e.g. during low energy summer run.
- **First**: get **yield difference** $\Delta y(\nu) = N^{3/2} - N^{1/2}$. Sufficient to study GDH convergence.
 - ⇒ **ν -independent normalization factors of secondary importance**
 - For ex. if $\sigma^{3/2}-\sigma^{1/2} = a\nu^b$, we get **b** , without need to extract an accurate **a** .
 - **Suppress normalization factor uncertainties.**
 - **Unpolarized backgrounds** (e.g. target dilution) **cancel**.
- **Then**: Extract absolute cross-section $\sigma^{3/2}-\sigma^{1/2}$: Study GDH SR validity for both nucleons + other goals
- 3 main ingredients needed:
 - **Circularly polarized** tagged **photon** beam; • Polarized electron beam;
 - **Longitudinally polarized target**; FROST target. • Amorphous radiator.
 - **Large solid-angle detector.** Hall D

Time request

Time (day)	Target	Goal/Remarks
10	Deuteron	Main production at 12 GeV
0.3	Deuteron	Spin dance done during above task
1	Deuteron	Target spin-flip/repol./NMR calib. No beam, done at middle of production
0.5	^4He	For background subtraction. Includes target change overhead
1	Deuteron \rightarrow proton switch	No beam. NMR calib.
7	Proton	Main production at 12 GeV
1	Proton	Target spin-flip/repol./NMR calib. No beam, done at middle of production
0.5	Pair. Spec. converter	Absolute flux calib.
12 GeV: 21.3		total time at 12 GeV
5.7	Deuteron	Production 4 GeV
0.3	Deuteron	Spin dance done during above task
0.3	^4He	For background subtraction. Includes target change overhead
1	Deuteron \rightarrow proton switch.	No beam. NMR calib.
4	Proton	Production at 4 GeV
0.5	Pair. Spec. converter	Absolute flux calib.
4 GeV: 11.8		total time at 4 GeV
Total: 33.1		total experiment time

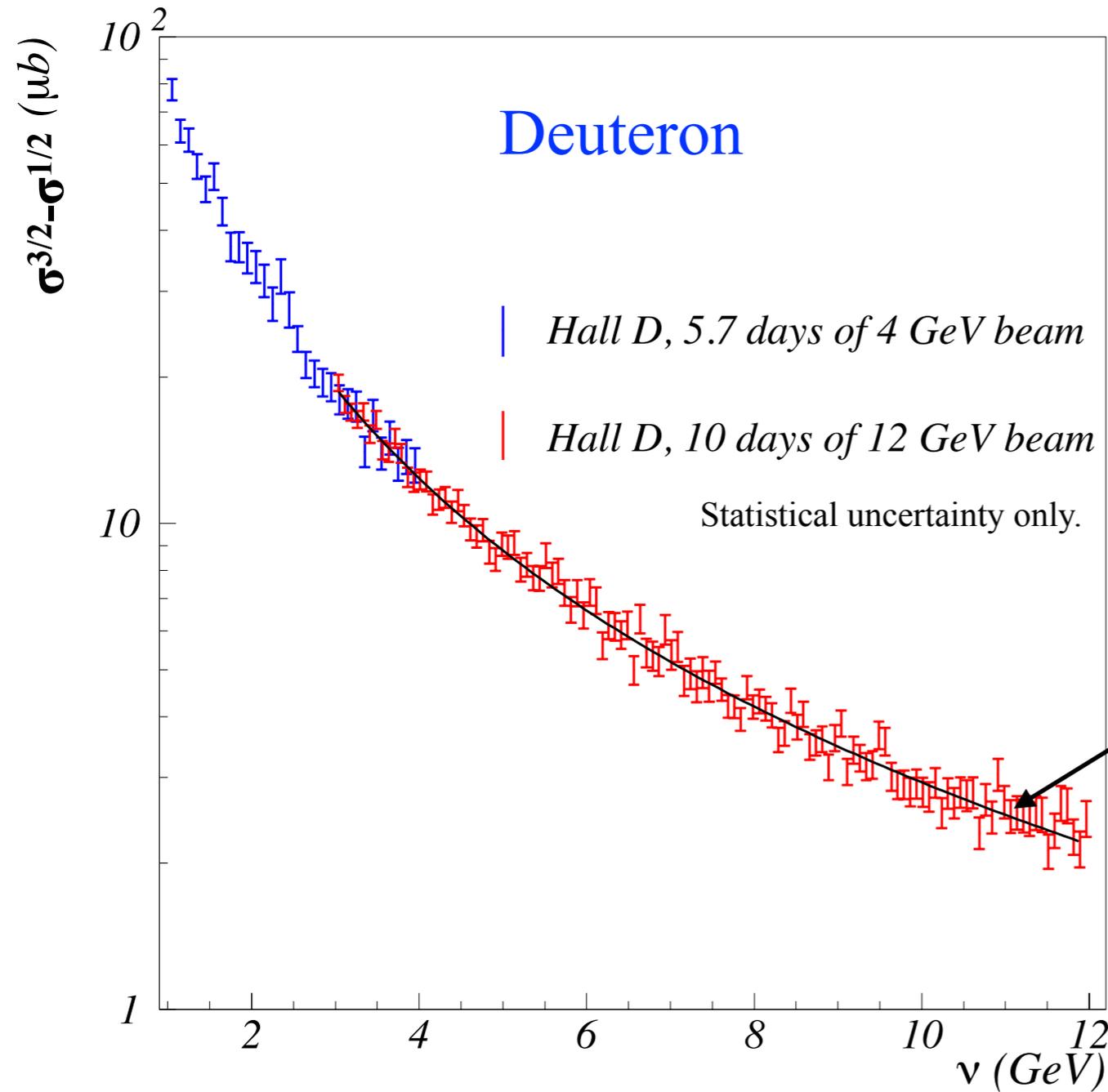
Expectations

Simulated data:



Expectations

Simulated data:



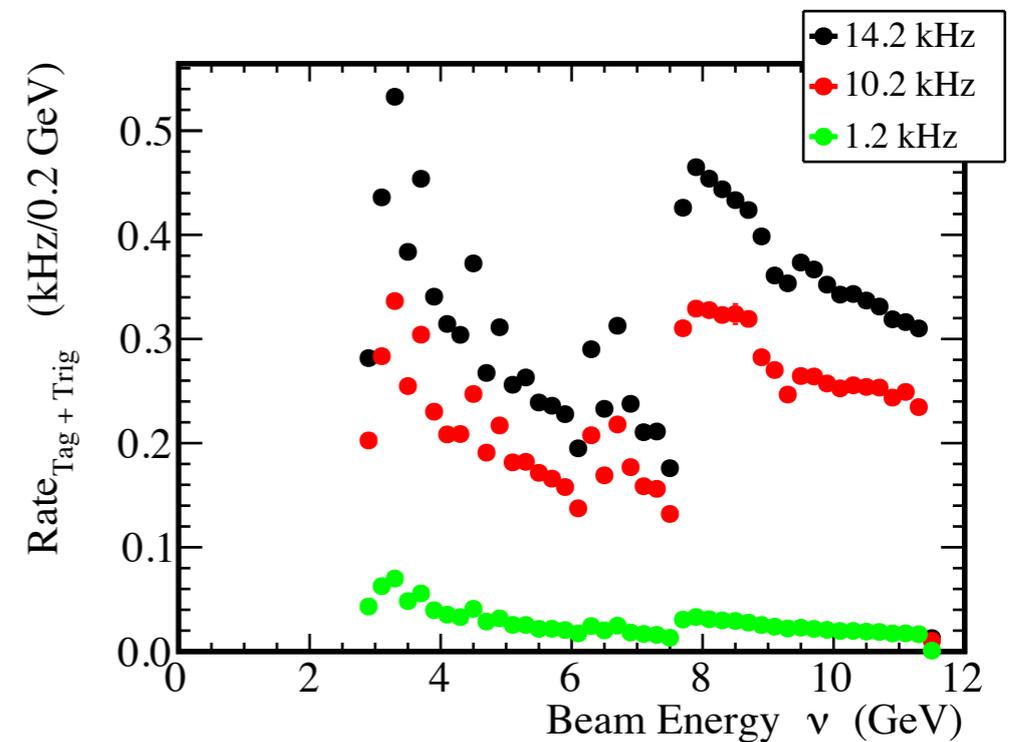
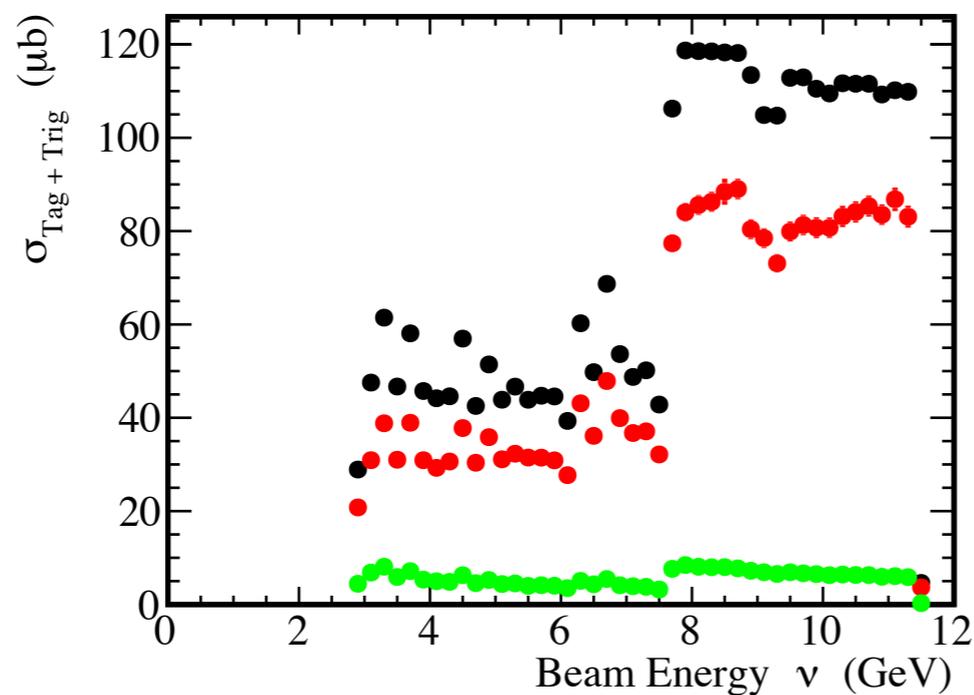
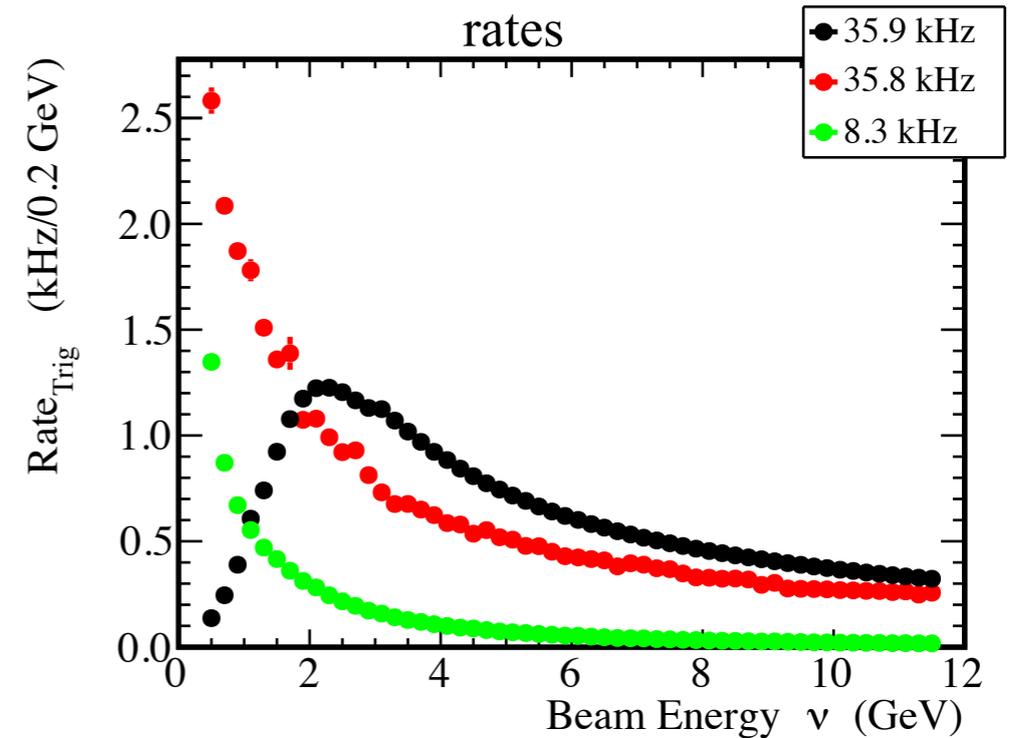
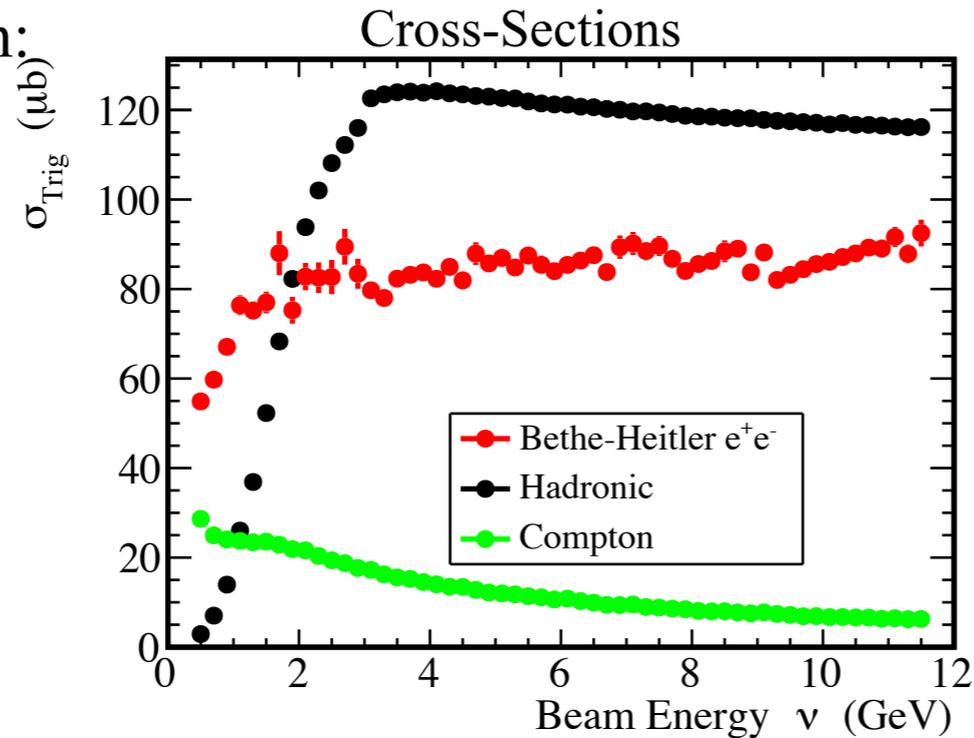
Should measure well the first non-zero deuteron signal in diffractive domain:

Fit: $\sigma^{3/2} - \sigma^{1/2} = (450 \pm 34) s^{-0.691 \pm 0.029}$

Rates and backgrounds

Unpolarized backgrounds: cancel in yield or cross-section difference.
However, may still affect the experiment by saturating DAQ.

HD GEANT simulation:



Total rate; 80 kHz (Hall D present DAQ capacity)

Useful hadronic rate: 35 kHz

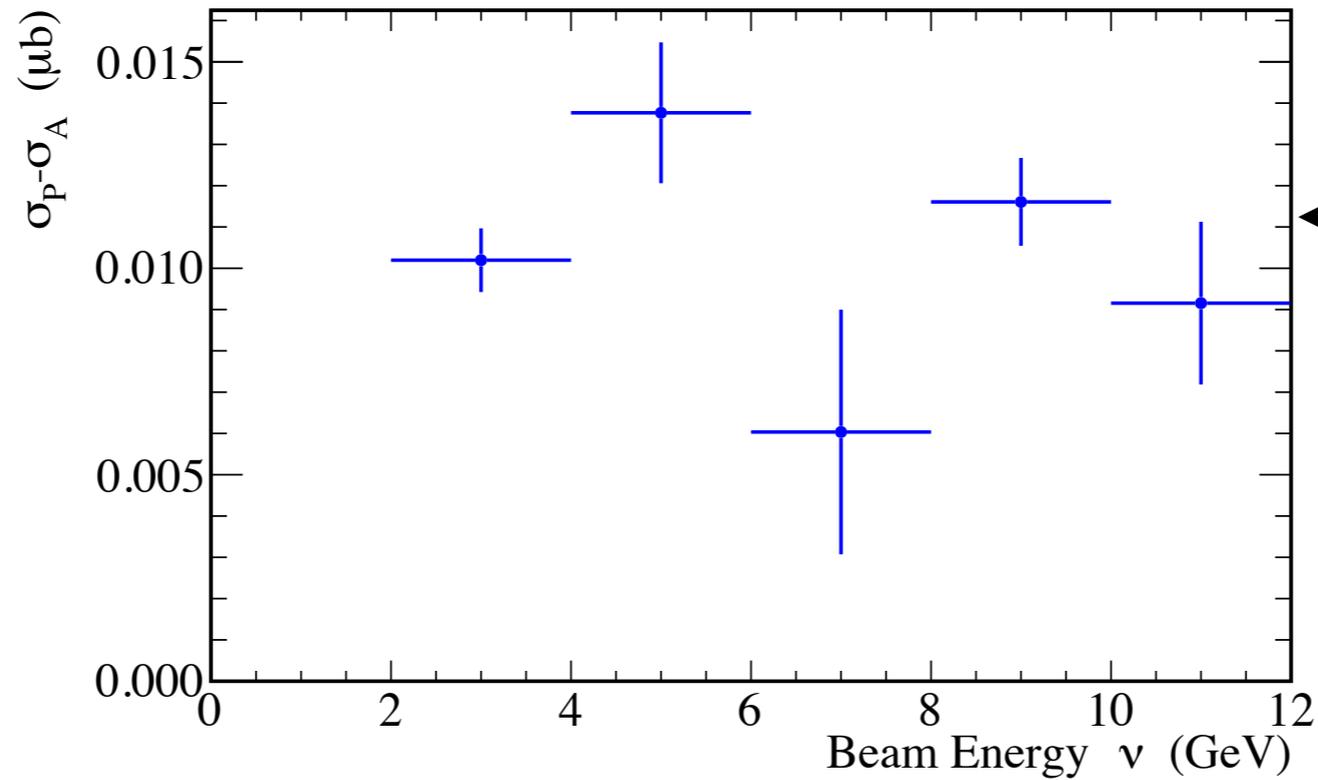
Confirmed by Kapton data (empty target run, with Kapton thickness scaled to 10cm yields ~ 50 kHz) A. Deur. PAC48 08/11/2020

Rates and backgrounds

Polarized backgrounds: contribute but very small ($\sim 0.2\%$ contamination).

Bethe-Heitler Cross-Sections difference

HD GEANT simulation:



← Compare $0.01 \mu\text{b}$ (BH)
with $\sim 5 \mu\text{b}$ (hadronic)

No polarized Compton contribution (FROST electrons unpolarized)

Impact

- Measuring high ν -behavior will test **the convergence of GDH sum** (fast and robust analysis: **early goal**)
 - First measurement well outside resonance region: **first clean test of Regge theory for polarized case.**
 - If Regge theory works: **$\Delta\alpha_{a1} = \pm 0.005$ & $\Delta\alpha_{f1} = \pm 0.019$** . Compare to $\Delta\alpha_{a1} = \pm 0.23$ & $\Delta\alpha_{f1} = \pm 0.22$ from ELSA. This will enable a **reliable assessment of the contribution up to $\nu \rightarrow \infty$** .
 - First measurement of non-zero polarized signal for deuteron in diffractive region.
- Obtaining cross-section (more difficult: **longer term goals**) will:
 - Improve accuracy of proton GDH Sum Rule determination by $\sim 25\%$
 - Allow for the first neutron GDH Sum Rule determination
 - Allow the determination of Compton amplitude f_2 .
 - Improve calculation of atomic hyperfine splitting by determining spin structure function $g_1(Q^2=0)$.
 - **$Q^2=0$ baseline for g_1 for EIC.** \implies study of the transition between DIS and diffractive regimes.

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- This will be **Precise enough to resolve the discrepancy between DIS data and Regge theory.**
- First measurement of **Regge theory predicts $\alpha_{a1} \cong -0.34$** , while
- Obtaining **Several DIS fits yield $\alpha_{a1} \cong +0.45$.**
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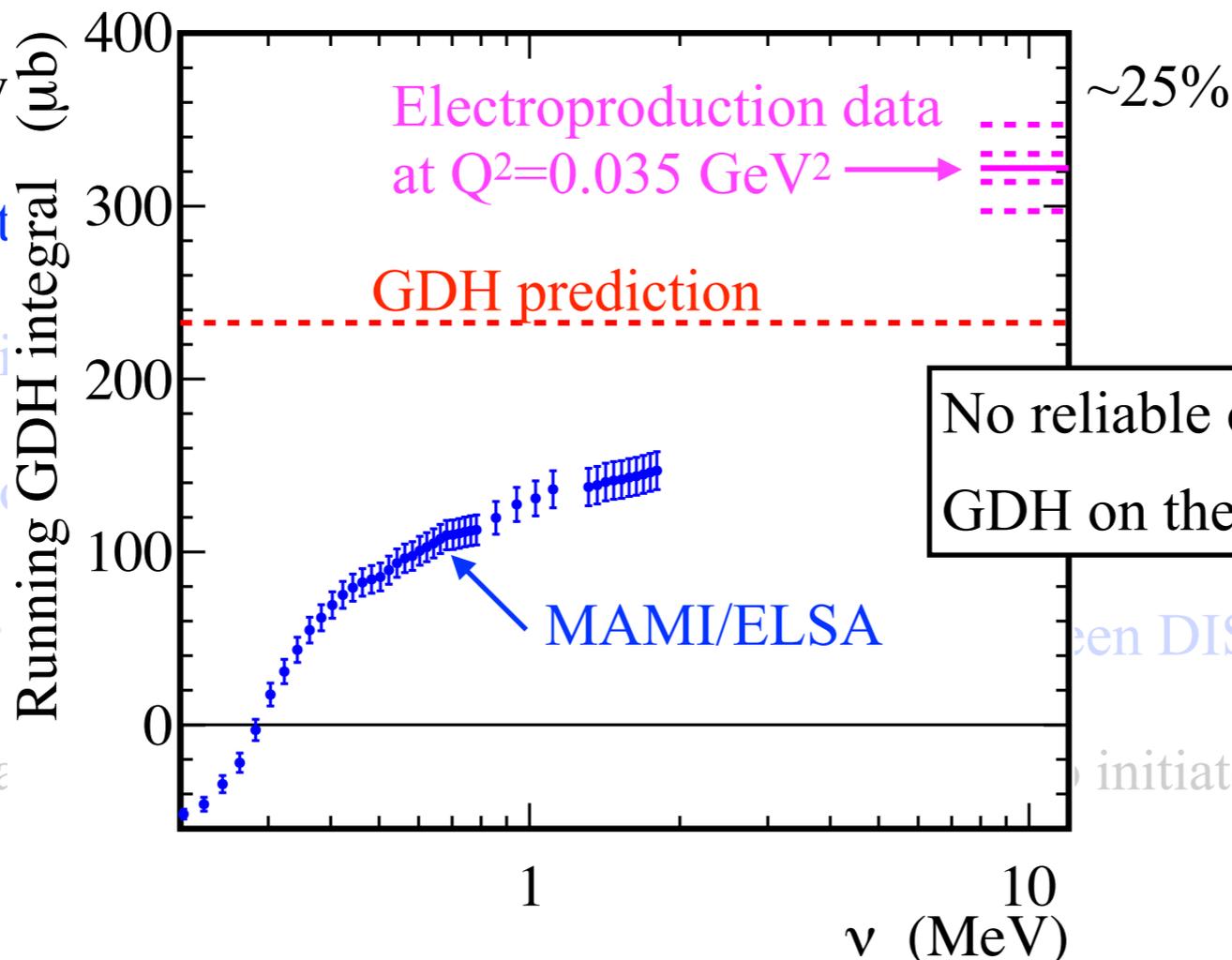
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 - neutron

- Improve accuracy
- Allow for the **first**
- Allow the **determi**
- Improve **calculati**
- $Q^2=0$ **baseline for**



No reliable check available yet for GDH on the neutron.

between DIS and diffractive regimes.

to initiate it with simplest experiment and

- Once Hall D has a polarized target, this will be a **robust observable.**

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$$\int_{v_{\text{thr}}}^{\infty} (\sigma^{3/2} - \sigma^{1/2}) \frac{dv}{v} = \frac{2\alpha\pi^2\kappa^2}{M^2}$$

Thank you

One-slide summary

$$\int_{v_{\text{thr}}}^{\infty} (\sigma^{3/2} - \sigma^{1/2}) \frac{dv}{v} = \frac{2\alpha\pi^2\kappa^2}{M^2}$$

- First measurement of the high- v behavior of GDH integrant $(\sigma^{3/2} - \sigma^{1/2})/v$
- Hall D + FROST target (H and D) + polarized electron beam on a amorphous radiator.
- Hall D is uniquely suited for such measurement.
- High- v is where a failing of the sum rule would be revealed.
- Early goal: map yield difference $N^{3/2} - N^{1/2}$ for the proton and neutron. This will elucidate the convergence of GDH integrals.
 - Point-to-point correlated errors cancel.
 - Unpolarized background cancel.
- 21-days 12 GeV measurement provides α_{f1} and α_{a1} at 2% level (present uncertainties: 50%)
- 12-days at 4 GeV bridge gap between 12 GeV and low energy data. Data overlap: much improved precision + cross-check of experiments.
- Solve discrepancy between DIS data and Regge theory prediction.
- Provide first non zero data on $\sigma^{3/2} - \sigma^{1/2}$ for the deuteron.
- Longer term goals (regardless of the convergence and sum rule validity):
 - Verify proton GDH sum rule within 6% & allows first verification of neutron GDH sum rule.
 - Allow extraction of complex Compton amplitude f_2 and new test of χpT .
 - Improve knowledge of atomic hyperfine splitting.
 - Polarized diffractive scattering phenomenology essentially unknown. $Q^2=0$ baseline for g_1 for EIC.
 \implies study of the transition between DIS and diffractive regimes.
- Once Hall D has a polarized target, a rich program opens. Sensible to initiate it with simplest experiment and a robust observable.

$$\int_{v_{\text{thr}}}^{\infty} (\sigma^{3/2} - \sigma^{1/2}) \frac{dv}{v} = \frac{2\alpha\pi^2\kappa^2}{M^2}$$

Back-up slides

Why is Hall D uniquely suited for a large- ν GDH measurement?

PRO:

- **Hall D: only Hall with photon tagging** capability,
- A GDH measurement via **electroproduction is not adapted to its study at large ν :**
 - Would need to be done at low enough Q^2 ($Q^2 < 0.02 \text{ GeV}^2$) to reliably extrapolate to $Q^2 = 0$.
 - At 11 GeV, low enough $Q^2 \Rightarrow$ scattering **angles smaller than 0.8° .**
 - No hall has this capability (CLAS12 forward tagger is limited to 2.5°)
 - **Elastic radiative tails are prohibitively large.** They will furthermore **saturate the DAQ.**
- **g_1 cannot be separated from g_2** in Hall B without a transverse target. Need model input but g_2 behavior at very low Q^2 and large ν is not known.
- The largest ν reachable in Hall B for inclusive data **is 8 GeV, compared to 12 GeV** in Hall D.
- **No possible $Q^2 = 0$ extrapolation:** $8 \text{ GeV} + 2.5^\circ \Rightarrow Q^2 = 1 \text{ GeV}^2$.
- Even if all pro/con were balancing, Hall B is more subscribed than Hall D: sensible to do a Hall D experiment.

CON:

Hall D does not have a polarized target. However, its **cost is moderate ($\sim \$600\text{K}$)** and it opens an **opportunity for new physics program.**

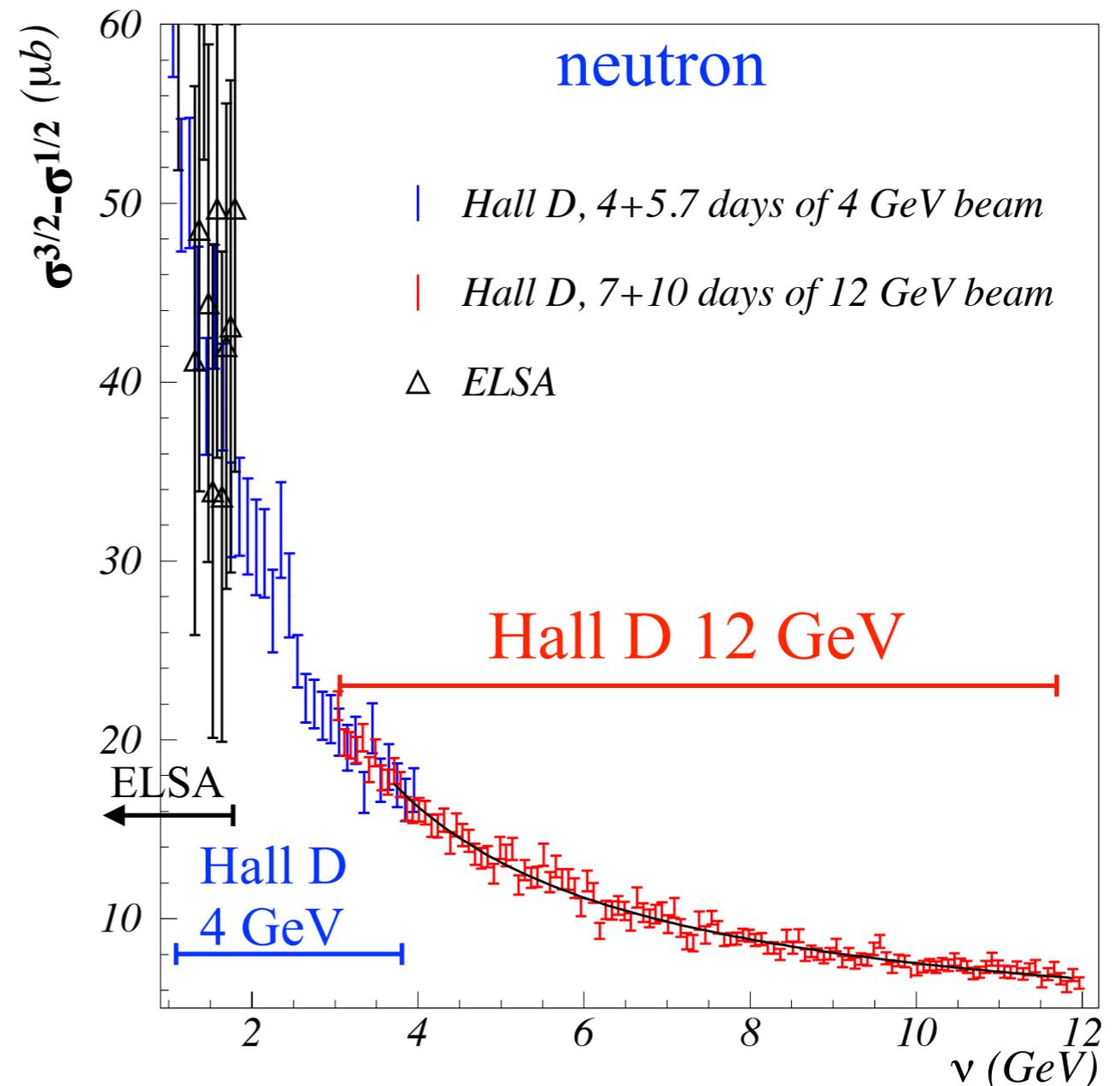
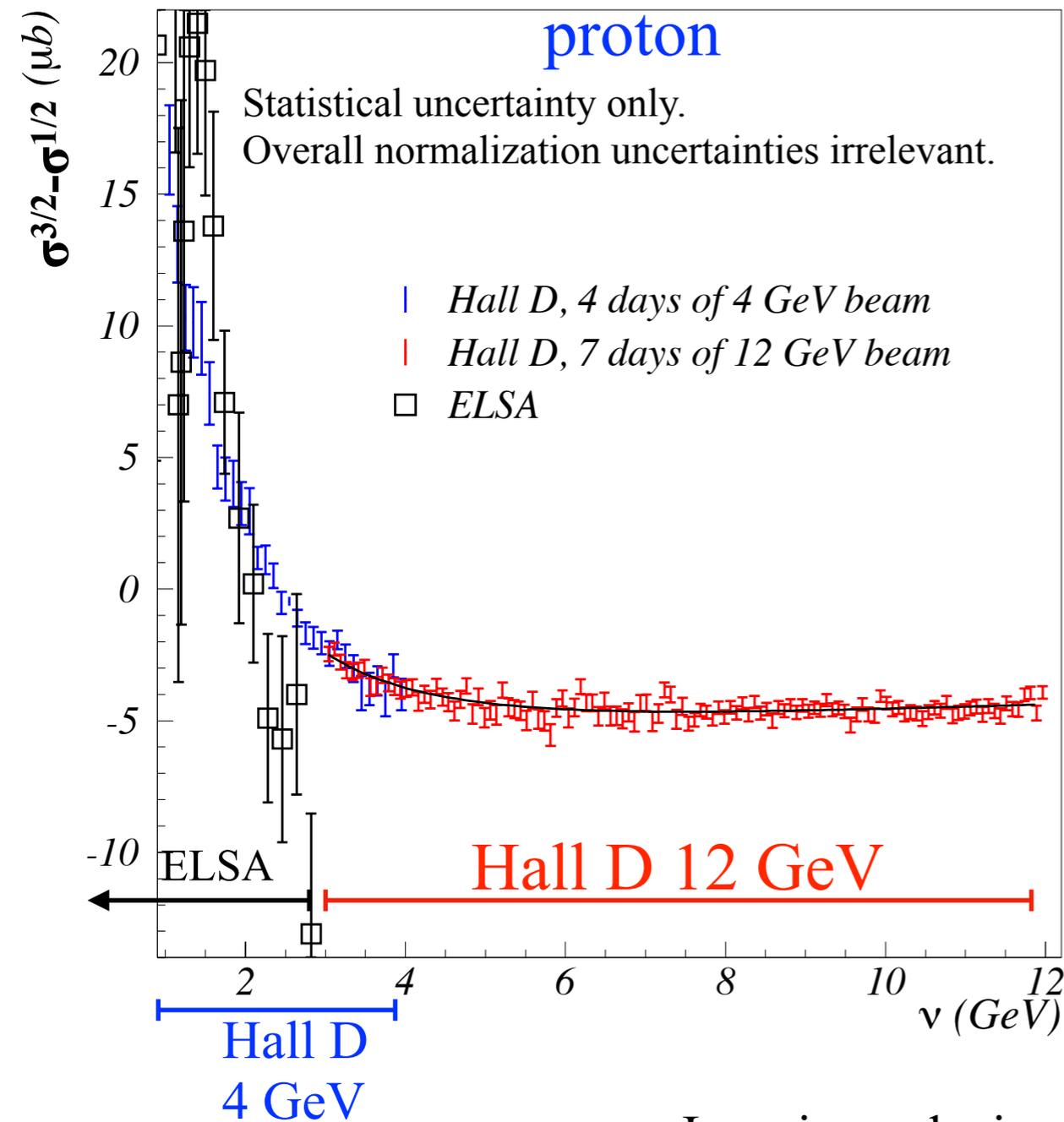
Possible mechanisms that could invalidate the GDH sum rule

- GDH: **Fundamental QFT prediction.**
- for GDH on hadron: **QCD determines convergence of integral and sum rule validity.**
 - Possible violation mechanisms:
 - A **J=1 pole** of the nucleon Compton amplitude;
 - Chiral anomaly**;
 - Quark substructure** (non-zero quark anomalous moment);
 - Other, more exotic possibilities, have been proposed, e.g. local break-down of EM gauge invariance

Expectations

- **1 week of running on proton:** Minimum reasonable time, given overhead \Rightarrow **10 days on deuteron.**
- Valuable to also take data at lower energy: **1 week (p+n) at 4 GeV.**
- For simulating expected data, use Regge theory: $\sigma^{3/2} - \sigma^{1/2} = c_2 s^{\alpha_{f_1} - 1} \pm c_1 s^{\alpha_{a_1} - 1}$
 - \swarrow proton
 - \nwarrow neutron

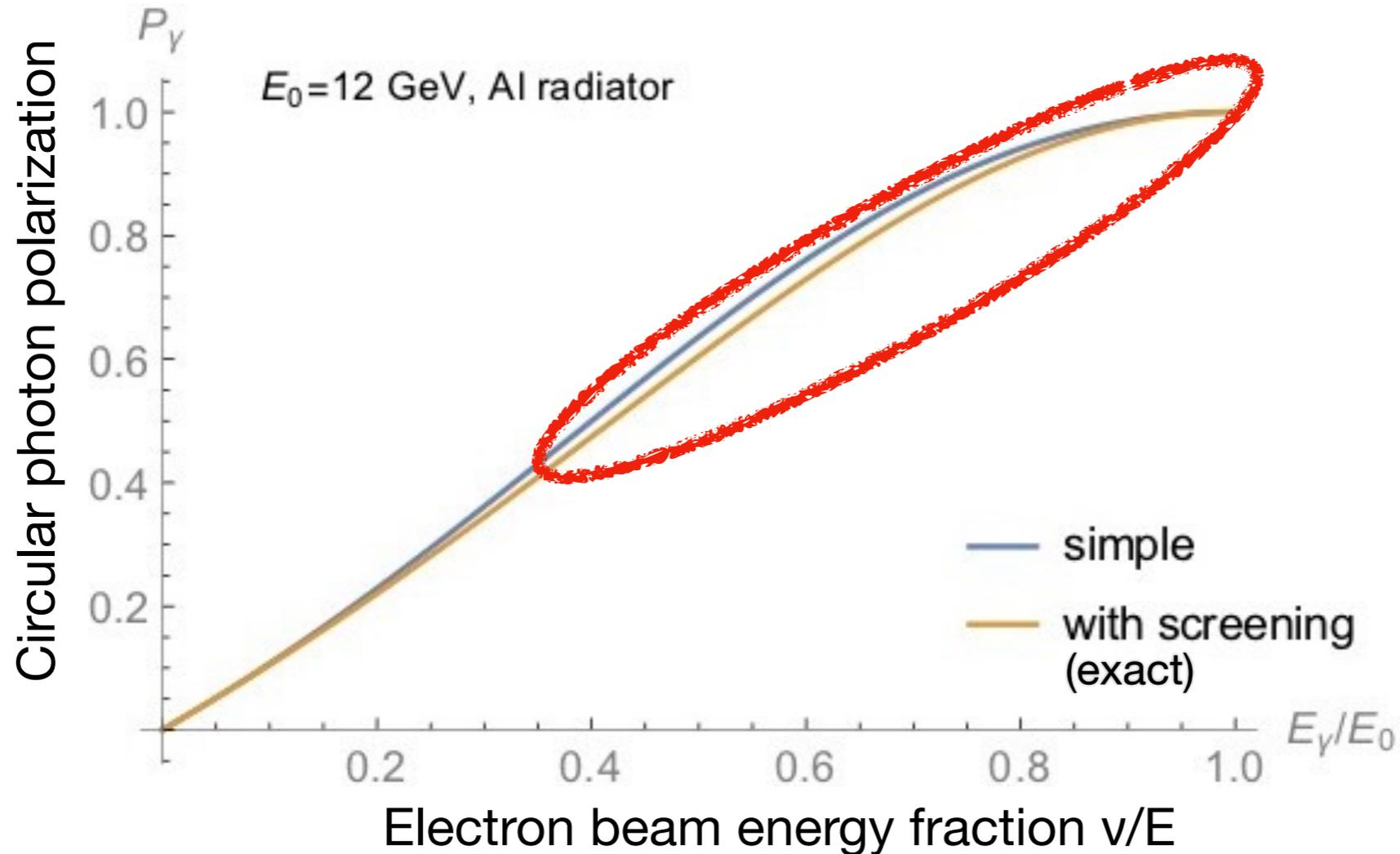
$s=2Mv+M^2$, α_{f_1} , α_{a_1} : **Regge intercepts** of $f_1(1285)$ and $a_1(1260)$ trajectories, and $c_{2,1}$: parameters.
- $7 \times 10^7 \text{ s}^{-1}$ collimated flux ($3 < v < 12 \text{ GeV}$), **Pb=80%**, **Pt=80%**.
- **10 cm** target on usual butanol density



Isospin analysis \Rightarrow $\Delta\alpha_{a_1} = \pm 0.007$ & $\Delta\alpha_{f_1} = \pm 0.029$

Circularly polarized beam

- Polarized electron beam;
- Amorphous radiator.



- Needed

- Electron beam helicity reporting
- Beam charge asymmetry control

- Not needed

- polarimetry (can still be done with injector's Mott polarimeter+spin precession).
- flux knowledge
- High photon energy resolution (present $< 0.5\%$ more than enough).

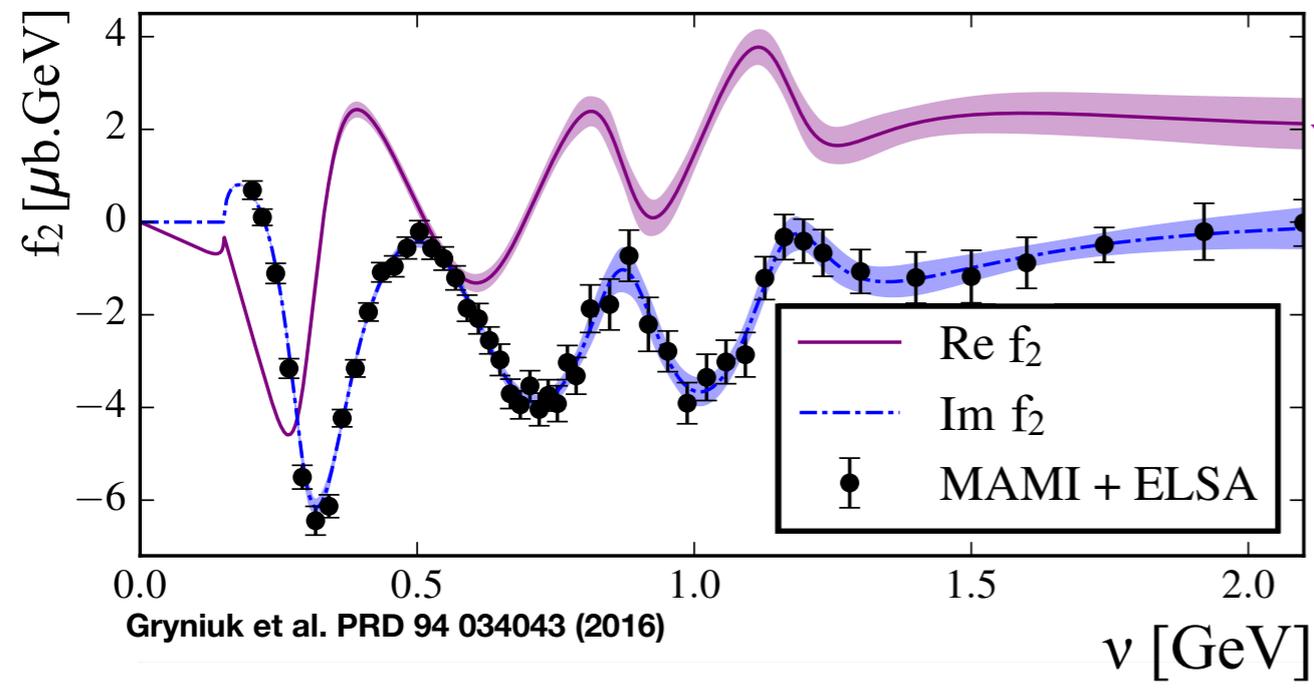
Polarized target

- Options are polarized **HDice** or **FROST**
 - **HDice**: best figure of merit (low dilution, high sustainable photon flux), but **complex to prepare and use**.
 - **FROST**: best polarization, easier to use, but **high dilution and lower maximum flux**.
- Running one **short experiment**: not enough to **invest in HDice**.
- **FROST dilution not an issue** for GDH thanks to **high rate Hall D DAQ**: total rate with max flux < DAQ limit. Also, **dilution cancels** in physics analysis: $(N^{3/2} + N^0) - (N^{1/2} + N^0) = N^{3/2} - N^{1/2}$
 \Rightarrow **use FROST**
- Target group prefers to build dedicated Hall D FROST target rather than import Hall B one.
- Two months to install the target. No commissioning needed.
- Cost estimate: ~\$600k

FROST characteristics:

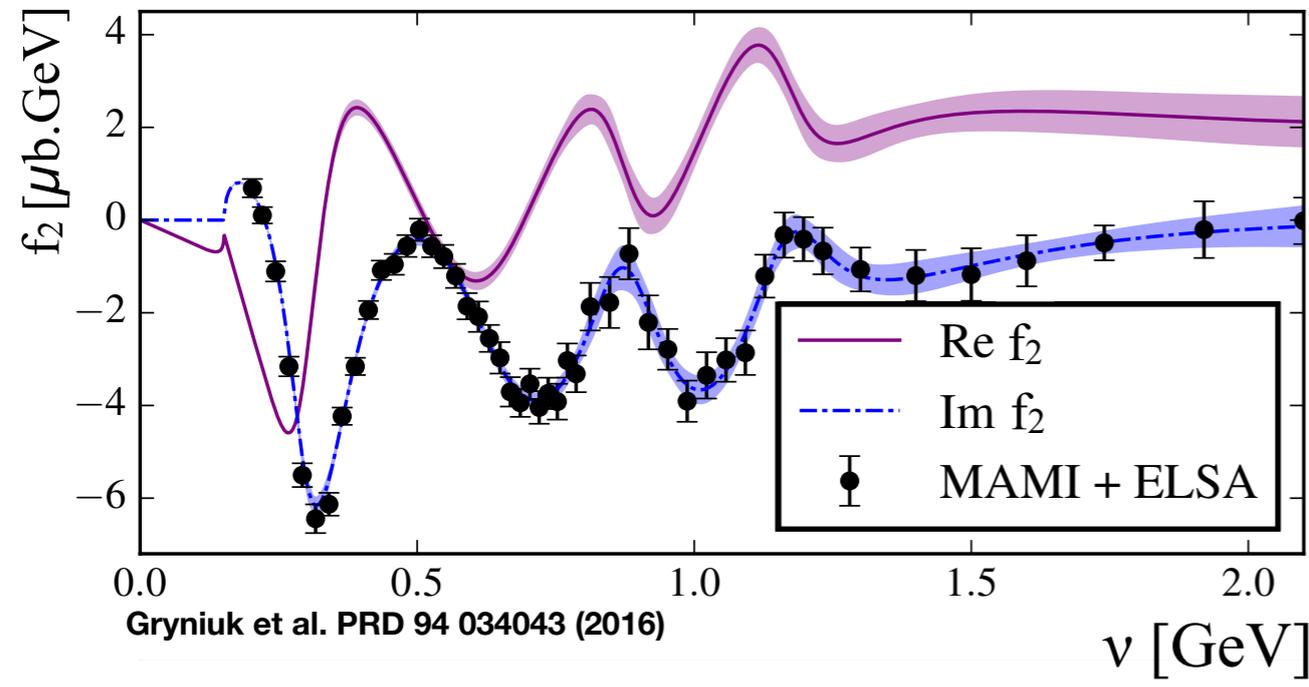
- Dynamical Nuclear Polarization on Butanol (**C₄H₉OH** or **C₄D₉OD**)
- P and D **polarizations: up to 90%**. Need to be re-polarized every 5-7 days (5h process).
- **Only longitudinal polarization needed**. Anti-parallel polarization possible. Useful for GDH but not required.
- Need to install cryogen lines (or dewars) for cooling.
- Sustainable *total* photon flux $\sim 10^8$ s⁻¹. Could be up to 10^9 s⁻¹ (need additional small magnet on target nose).
 10^9 s⁻¹ would be useful, especially since DAQ rate is currently not limiting and will improve with years.

Extraction of the real and imaginary parts of Compton amplitude f_2

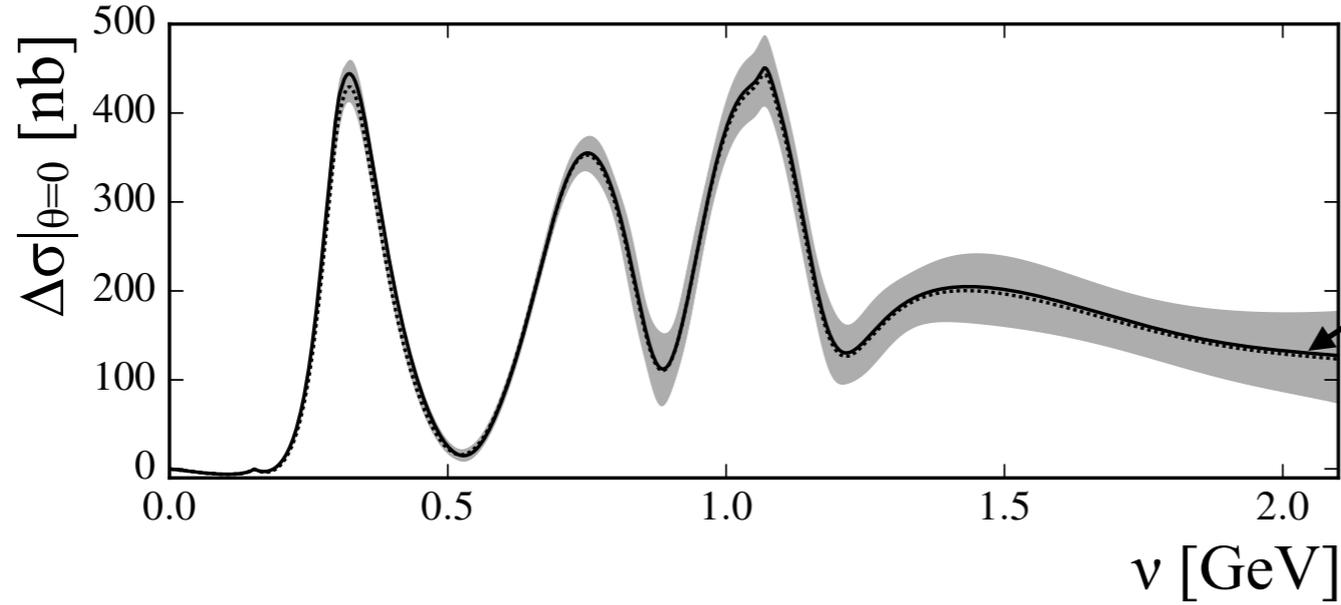


Dispersive analysis from $\text{Im}(f_2)$ data. Large ν data will constrain both $\text{Re}(f_2)$ and $\text{Im}(f_2)$ error bands.

Extraction of the real and imaginary parts of Compton amplitude f_2

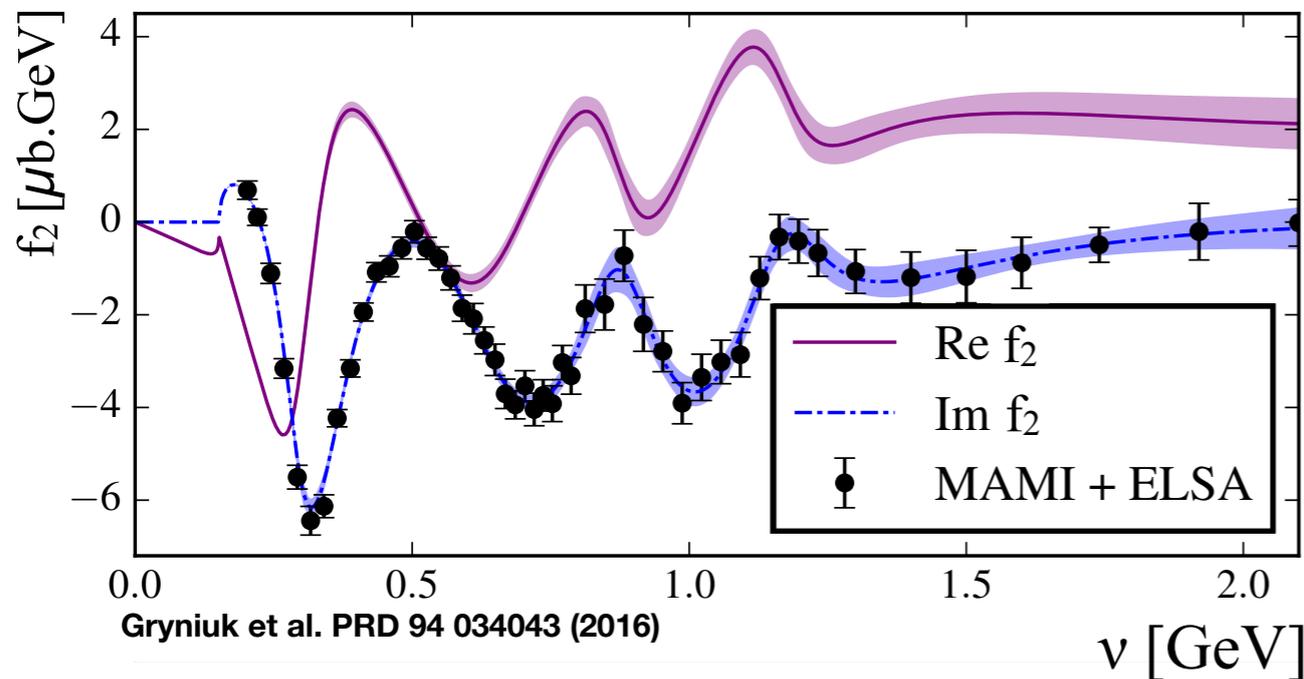


From $\text{Re}(f_2)$ and $\text{Im}(f_2)$ and the well measured unpolarized f_1 , one gets $\sigma^{3/2} - \sigma^{1/2} \stackrel{\text{def}}{=} \Delta\sigma$ in the forward limit.

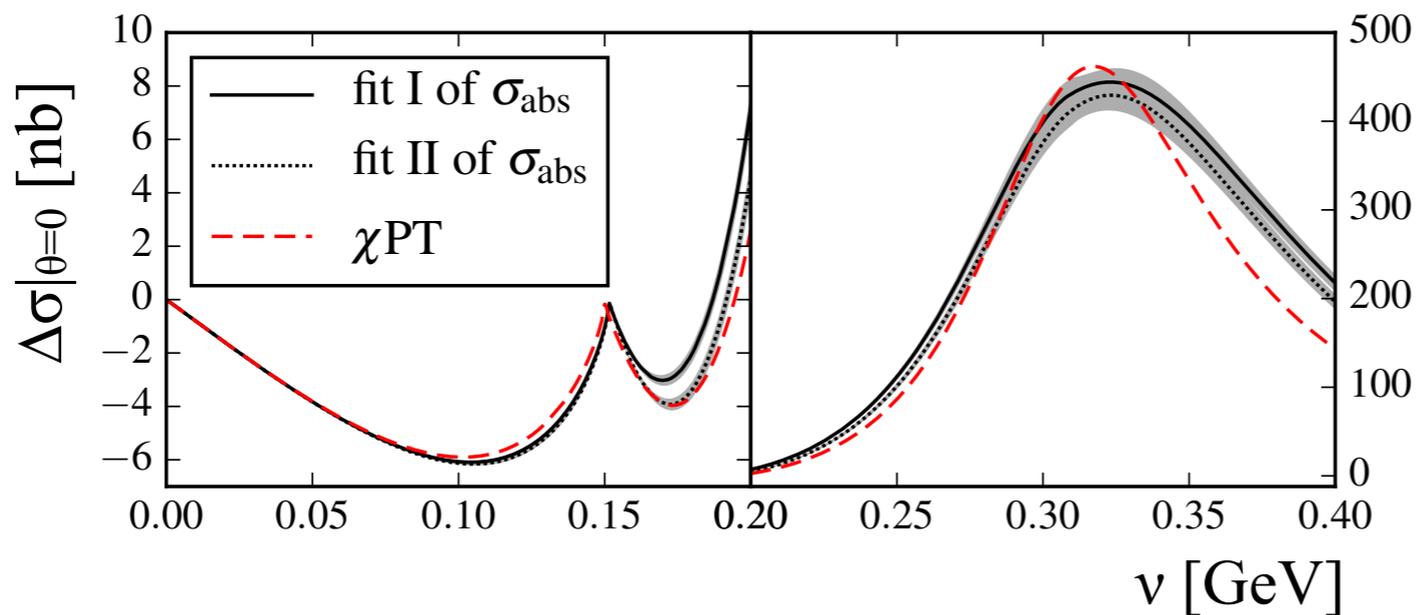
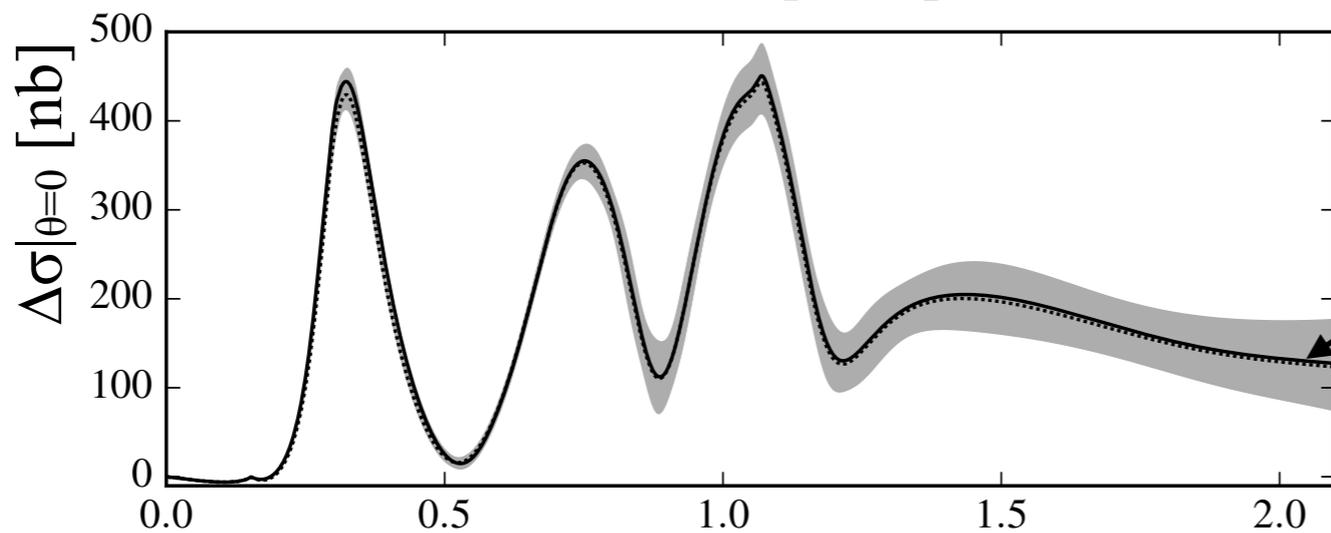


Large v data will constrain increasing error band.

Extraction of the real and imaginary parts of Compton amplitude f_2



From $\text{Re}(f_2)$ and $\text{Im}(f_2)$ and the well measured unpolarized f_1 , one gets $\sigma^{3/2} - \sigma^{1/2} \stackrel{\text{def}}{=} \Delta\sigma$ in the forward limit.



Chiral Perturbation Theory (χpT) calculation available.

$\Delta\sigma|_{\theta=0}$ very sensitive to chiral loops.

\Rightarrow Test of χpT at $Q^2=0$.

Complement JLab program GDH at low Q^2 that tested and challenged χpT in the polarized sector.